

PORTFOLIO PERFORMANCE – CLOSED POSITIONS

<u>COMPANY</u>	<u>RECOMMENDED PRICE/DATE</u>		<u>PERCENT GAIN(LOSS)</u>
Cemex (Bolsa)	\$3.87 (6/4/96)	CLOSED POSITION 2/28/97 at \$8.75	226%
Tsingtao Brewery ADR:TSGTF	38¢ (6/4/96)	CLOSED POSITION 1/15/03 at 59¢	55%
Questec Imaging QSTIF	\$1.15 (6/16/96)	CLOSED POSITION 2/28/97 at 60¢	(48%)
Telefonica del Peru NYSE: TDP	\$2.00 (6/14/96)	CLOSED POSITION 2/28/97 at \$23.25 (reflects reverse split)	16%
Mesa Petroleum NYSE: MXP	\$4.00 (6/17/96)	CLOSED POSITION 2/28/97 at \$6.38	62%
Cifra (Bolsa- CFRAY)	\$1.38 (6/18/96)	CLOSED POSITION 3/7/00 at \$2.56	85%
Aldila NASDAQ:ALDA	\$4.56 (6/25/96)	CLOSED POSITION 5/15/97 at \$4.56	0%
Hong Kong Electric ADRR:HGKGY	\$3.12 (6/25/96)	CLOSED POSITION 7/10/01 at \$3.70	36% (includes dividends)
Cydsa (Bolsa)	\$2.55 (3/5/97)	CLOSED POSITION 1/30/98 at \$3.58	40%
Largo Vista Group BB:LGOV	29¢ (3/5/97)	CLOSED POSITION 12/7/99 at 60¢	107%
American Banknote NYSE: ABN	\$3.50 (3/20/97)	CLOSED POSITION 9/29/97 at \$6.38	82%
New York Healthcare NASDAQ:BBAL	\$4.25 (3/20/97)	CLOSED POSITION 9/9/03 at \$3.56	(16%)
Harken Energy AMEX:HEC	\$4.75 (4/5/97)	CLOSED POSITION 1/24/00 at 93¢	(78%)
Amer. Craft Brewing Intl. NASDAQ:ABREF	\$1.75 (4/5/97)	CLOSED POSITION 7/7/98 at 31¢	(82%)
Laidlaw Environ. NYSE:LLE	\$2.78 (4/20/97)	CLOSED POSITION 9/29/97 at \$5.12	84%
Meridian Gold NYSE:MDG	\$4.50 (4/20/97)	CLOSED POSITION 9/27/99 at \$7.56	68%

Gandalf Technologies NASDAQ: GANDF	\$1.06 (5/5/97)	CLOSED POSITION 5/13/97 at \$2.25	120%
RDM Sports Group NYSE:RDM	\$1.38 (5/5/97)	CLOSED POSITION 9/29/97 at \$0.00	(100%)
IPL Systems NASDAQ: IPLS	\$1.62 (5/20/97)	CLOSED POSITION 9/29/97 at \$2.50	81%
Swissray Inter'l OTCBB:SRMI	\$23.20 (5/20/97)	CLOSED POSITION 11/25/98 at 44¢	(95%)
(Note: this price reflects 1 for 10 split. Price was \$2.32 when recommended).			
PDG Environmental OTCBB: PDGE	75¢ (6/5/97)	CLOSED POSITION 11/10/97 at \$1.59	112%
Manhattan Card HK405	40¢ (6/5/97)	CLOSED POSITION 3/24/98 at 34¢	(15%)
Founder Ltd. HK418	68¢ (6/5/97)	CLOSED POSITION 3/24/98 at 72¢	6%
American Resources NASDAQ:GASS	\$2.81 (6/20/97)	CLOSED POSITION 7/14/99 at 25¢	(93%)
Immudyne Inc. OTCBB:IMMD	65¢ (6/20/97)	CLOSED POSITION 7/10/01 at 11¢	(83%)
Tseng Labs NASDAQ:TSNG	\$3.00 (7/5/97)	CLOSED POSITION 10/30/98 at \$7.00	133%
Perle Systems Ltd. NASDAQ:PERLF	\$1.50 (7/5/97)	CLOSED POSITION 12/7/98 at \$2.71	80%
ITEX Corp. NASDAQ:ITEX	\$3.25 (7/20/97)	CLOSED POSITION 10/15/97 at \$6.25	92%
Vicon Industries AMEX:VII	\$5.88 (7/20/97) (8/5/97)	CLOSED POSITION 1/26/98 at \$9.50	61%
Miami Subs Corp. OTCBB:SUBS Note: This price reflects 1 for 4 split. Price was 72¢ when recommended.)	\$2.88 (8/5/97)	CLOSED POSITION 3/26/99 at \$1.53	(47%)
Andyne Computing NASDAQ:ADYNF	\$5.00 (8/20/97)	CLOSED POSITION 10/23/97 at \$8.12	62%
U.S. Gold Corp. OTCBB:USGL	\$1.00 (8/20/97)	CLOSED POSITION 5/19/03 at 55¢	(45%)

FiberChem, Inc. NASDAQ:FOCS	31¢ (9/5/97)	CLOSED POSITION 2/18/00 at 75¢	142%
Enhanced Services Inc. NASDAQ:ESVS	\$3.88 (9/20/97)	CLOSED POSITION 3/17/98 at \$7.62	96%
AutoInfo, Inc. NASDAQ:AUTO	\$2.00 (9/20/97)	CLOSED POSITION 5/6/98 at 38¢	(81%)
Golden Eagle Group Inc. NASDAQ:GEGP	\$2.25 (10/5/97)	CLOSED POSITION 9/30/98 at \$3.78	68%
American Paging Inc. AMEX:APP	\$2.00 (10/5/97)	CLOSED POSITION 3/31/98 at \$2.50	25%
Coram Healthcare NYSE:CRH	\$4.38 (10/20/97)	CLOSED POSITION 1/18/00 at 62¢	(86%)
Mountain Province Diamonds Inc. OTCBB: MPVI	\$3.18 (10/20/97)	CLOSED POSITION 11/10/03 at \$1.75	(45%)
Fusion Medical Technology NASDAQ:FSON	\$4.81 (11/5/97)	CLOSED POSITION 12/7/98 at \$11	128%
AutoImmune, Inc. NASDAQ:AIMM	\$2.75 (11/5/97)	CLOSED POSITION 11/10/99 at 43¢	(95%)
Cyclops Corporation NASDAQ:OZON	\$2.00 (11/20/97)	CLOSED POSITION 11/22/99 at 10¢	(95%)
Rivendell Winery of NY OTCBB:CORK	72¢ (11/20/97)	CLOSED POSITION 1/22/98 at \$1.75	143%
HemaCare Corporation OTCBB:HEMA	75¢ (12/5/97)	CLOSED POSITION 1/19/00 at \$1.20	60%
Dataware Technologies NASDAQ:DWTI	\$3.12 (12/5/97)	CLOSED POSITION 12/18/99 at \$7.00	124%
WellCare Mgt. Group OTCBB:WELL	\$2.00 (12/20/97)	CLOSED POSITION 12/9/99 at \$2.81	40%
Techniclone Corporation NASDAQ:TCLN	\$2.38 (12/20/97)	CLOSED POSITION 1/10/00 at \$1.75	(26%)
NetManage Inc. NASDAQ:NETM	\$2.44 (1/5/98)	CLOSED POSITION 11/15/99 at \$4.96	103%
Cytogen Corporation NASDAQ:CYTO	\$2.12 (1/5/98)	CLOSED POSITION 1/10/00 at \$4.62	118%
General DataComm Ind. Inc. NYSE:GDC	\$4.12 (1/20/98)	CLOSED POSITION 11/29/99 at \$7.18	74%

Seventh Generation Inc. OTCBB:SVNG	47¢ (1/20/98)	CLOSED POSITION 5/7/98 at \$1.44	206%
Integra LifeSciences NASDAQ:IART	\$4.88 (2/5/98)	CLOSED POSITION 7/1/99 at \$9.87	102%
Chryon Corp. NYSE: CHY	\$3.88 (2/20/98)	CLOSED POSITION 3/7/00 at \$7.50	93%
Energy BioSystems NASDAQ: ENBC	*\$16.66 (2/20/98)	CLOSED POSITION 1/28/99 at \$4.00	(76%)
* Reflects 12/21/98 1 for 7 reverse split.			
Geotek Communications NASDAQ:GOTK	\$1.31 (3/5/98)	CLOSED POSITION 7/13/98 at 8¢	(94%)
West Coast Entertainment NASDAQ: WCEC	\$2.75 (3/5/98)	CLOSED POSITION 7/19/99 at 56¢	(79%)
Players Int'l NASDAQ: PLAY	\$4.38 (3/20/98)	CLOSED POSITION 6/11/99 at \$6.78	55%
Globalink, Inc. AMEX: GNK	\$2.12 (3/20/98)	CLOSED POSITION 7/6/98 at \$5.25	147%
Advanced Systems Int'l PTCBB:ADSM	\$2.00 (4/5/98)	CLOSED POSITION 3/3/00 at \$4.81	140%
Richmont Mines AMEX:RIC	\$2.75 (4/5/98)	CLOSED POSITION 5/23/02 at \$4.40	60%
InterJetNet OTCBB:IJNT	\$3.50 (4/20/98)	CLOSED POSITION 7/2/98 at \$9.37	167%
Greka Energy (formerly Saba Petroleum - price reflects Greka buyout - recommended price as Saba was \$3.50)	\$21.00 (4/20/98)	CLOSED POSITION 12/10/99 at \$8.50	(59%)
Netegrity, Inc. NASDAQ: NETE	\$2.18 (5/5/98)	CLOSED POSITION 1/13/99 at \$5.25	140%
CellPro, Inc. NASDAQ: CPRO	\$3.69 (5/5/98)	CLOSED POSITION 9/28/98 at 6¢	(98%)
LabCorp NYSE:LH	\$2.50 (5/20/98)	CLOSED POSITION 2/18/00 at \$3.92	57%
Harris & Harris NASDAQ:HHGP	\$3.00 (5/20/98)	CLOSED POSITION 10/15/99 at \$5.00	66%
Kimmins	\$3.25	CLOSED POSITION	(87%)

OTCBB: KVMN	(6/5/98)	1/19/00 at 44¢	
Boston Chicken	\$1.88	CLOSED POSITION	(90%)
NASD: BOSTQ	(6/5/98)	12/7/99 at 18¢	
LXR Biotechnology	\$2.81	CLOSED POSITION	(92%)
AMEX: LXR	(6/20/98)	5/3/99 at 21¢	
Dynamic Healthcare	\$1.00	CLOSED POSITION	131%
NASD: DHTI	(6/20/98)	7/10/98 at \$2.31	
Oncormed	\$2.88	CLOSED POSITION	(35%)
AMEX: ONM	(7/5/98)	9/28/98 at \$1.86 (acquired by GeneLogic)	
Valentis	\$3.00	CLOSED POSITION	
NASD: VLTS (formerly GeneMedicine)	(7/5/98)	7/19/99 at \$6.18	106%
Hawaiian Airlines	\$2.18	CLOSED POSITION	47%
AMEX: HA	(7/20/98)	5/3/01 at \$3.22	
Adv. Photonix	\$1.12	CLOSED POSITION	139%
AMEX: API	(7/20/98)	12/30/99 at \$2.68	
Data Race, Inc	\$2.00	CLOSED POSITION	115%
NASD: RACE	(8/5/98)	11/4/98 at \$4.31	
Nanophase Tech.	\$3.75	CLOSED POSITION	113%
NASD: NANX	(8/5/98)	1/25/00 at \$8.00	
Angeion Corp.	\$29.99	CLOSED POSITION	(94%)
NASD: ANGN	(8/20/98)	on 11/5/99 at \$1.81	
(Price reflects 1 for 10 reverse split. Recommended price was \$2.88.)			
Princeton Video Image	\$5.00	CLOSED POSITION	77%
NASD: PVII	(8/20/98)	12/9/99 at \$8.87	
Merisel	\$2.31	CLOSED POSITION	(92%)
NASD: MSEL	(9/5/98)	1/11/01 at 19¢	
Wareforce One	\$4.87	CLOSED POSITION	97%
OTCBB: WFRC	(9/5/98)	1/12/99 at \$9.62	
Nyle Int'l	62¢	CLOSED POSITION	(40%)
OTCBB: NYLEE	(9/20/98)	2/18/00 at 37¢	
Frisby Technologies	\$3.75	CLOSED POSITION	78%
NASD: FRIZ	(9/20/98)	12/10/99 at \$6.68	
Pediatric Services	\$3.25	CLOSED POSITION	62%
OTCBB: PSAI	(10/5/98)	2/2/01	

Intelect Comm.	\$2.75	CLOSED POSITION	118%
NASD:ICOMC	(10/5/98)	1/24/00 at \$6.00	
OraLabs	\$2.00	CLOSED POSITION	75%
NASD: OLAB	(10/20/98)	2/12/99 at \$3.50	
AG Associates	\$2.00	CLOSED POSITION	109%
NASD: AGAI	(10/20/98)	11/25/98 at \$4.18	
Adv. Radio Tele.	\$4.50	CLOSED POSITION	119%
NASD: ARTT	(11/5/98)	1/20/99 at \$9.87	
Grey Wolf	\$1.25	CLOSED POSITION	114%
AMEX: GW	(11/5/98)	5/5/99 at \$2.68	
TransWorld Airlines	\$4.62	CLOSED POSITION	(71%)
AMEX:TWA	(11/20/98)	1/5/01 at \$1.32	
Pacific Aerospace	\$2.50	CLOSED POSITION	120%
NASD: PCTH	(11/20/98)	2/4/00 at \$5.50	
Pittston Minerals	\$2.75	CLOSED POSITION	(41%)
NYSE:PZM	(12/5/98)	12/7/99 at \$1.62	
Gaylord Container	\$4.25	CLOSED POSITION	78%
AMEX: GCR	(12/20/98)	3/26/99 AT \$7.56	
Lions Gate	\$2.88	CLOSED POSITION	(28%)
AMEX:LGF	(12/20/98)	1/2/03 at \$2.06	
Radcom, Ltd.	\$2.69	CLOSED POSITION	110%
NASD: RDCM	(1/5/99)	7/14/99 at \$5.65	
Advanced Tissue Sciences	\$2.12	CLOSED POSITION	177%
NASD: ATIS	(1/5/99)	5/3/99 at \$5.87	
Molecular Biosystems	\$2.87	CLOSED POSITION	(88%)
OTCBB: MBIO	(1/20/99)	1/3/01 at 34¢	
(Company acquired by			
Alliance Pharmaceutical)			
Cornerstone Internet	\$3.50	CLOSED POSITION	71%
NASD:CNRS	(1/20/99)	11/11/99 at \$6.00	
American Tech Group	68¢	CLOSED POSITION	(98%)
OTCBB:ATEG	(2/5/99)	1/2/01 at 1¢	
Cel-Sci	\$2.00	CLOSED POSITION	112%
AMEX: HIV	(2/20/99)	1/25/00 at \$4.25	
Ion Networks	\$2.44	CLOSED POSITION	112%
(formerly MicroFrame)	(2/20/99)	7/14/99 at \$5.18	
NASD: IONN			

Tower Air	\$2.00	CLOSED POSITION	(100%)
NASD: TOWR	(3/5/99)	3/3/00 at \$0.00	
IOMED, Inc.	\$2.50	CLOSED POSITION	140%
AMEX:IOX	(3/5/99)	2/9/00 at \$6.00	
Sun Healthcare	\$1.25	CLOSED POSITION	(98%)
OTCBB: SHGE	(3/20/99)	12/29/99 at 2¢	
Paravant, Inc.	\$2.18	CLOSED POSITION	58%
NASD:PVAT	(3/20/99)	3/25/02 at \$3.48	
Mustang Software	\$5.50	CLOSED POSITION	73%
NASD: MSTG	(4/5/99)	4/6/99 at \$9.50	
Trega Bio.	\$1.68	CLOSED POSITION	112%
NASD: TRGA	(4/5/99)	12/29/99 at \$3.56	
Verilink	\$3.00	CLOSED POSITION	133%
NPSD: VRLK	(4/20/99)	1/19/00 at \$7.00	
Biomira	\$4.25	CLOSED POSITION	169%
NASD: BIOM	(5/5/99)	1/20/00 at \$11.44	
APT Satellite	\$4.37	CLOSED POSITION	91%
NYSE: ATS	(5/5/99)	1/18/00 at \$8.37	
Geoworks	\$2.56	CLOSED POSITION	173%
NASD: GWRX	(5/20/99)	11/10/99 at \$7.00	
Chancellor	68¢	CLOSED POSITION	(97%)
OTCBB:CHLR	(5/20/99)	7/10/01 at 2¢	
Aztec Technology	\$2.50	CLOSED POSITION	102%
NASD:AQLA	(6/5/99)	12/13/99 at \$5.06	
SONICblue	\$30.25	CLOSED POSITION	(99%)
NASD:SBLU	(6/5/99)	3/21/03 at 5¢	
(formerly Sensor Science. Bought by SBLU in 1 for 11 stock swap.			
Spectralink	\$4.75	CLOSED POSITION	105%
NASD:SLNK	(6/20/99)	11/5/99 at \$9.75	
Aquila Pharma	\$1.62	CLOSED POSITION	123%
NASD: AQLA	(7/5/99)	12/17/99 at \$3.62	
TransCom USA	\$3.31	CLOSED POSITION	(99%)
PTCBB:TUIC	(7/5/99)	1/8/02 at 2¢	
Versar, Inc.	\$2.44	CLOSED POSITION	77%
AMEX:VSR	(7/20/99)	9/28/01 at \$4.31	
Magainin Pharma	\$3.12	CLOSED POSITION	124%

NASD:MAGN	(7/20/99)	2/18/00 at \$7.00	
Dunn Computer	\$2.50	CLOSED POSITION	135%
NASD:DNCC	(8/5/99)	12/13/99 at \$5.87	
Detwiler, Mitchell	\$6.48	CLOSED POSITION	(83%)
NASD:DMCO	(8/5/99)	6/4/03 at \$1.07	
(formerly JMC Group)			
*reflects 1 for 4 stock split			
Genelabs	\$2.31	CLOSED POSITION	113%
NASD:GNLB	(8/20/99)	11/22/99 at \$4.92	
VDC Comm.	\$2.38	CLOSED POSITION	(99%)
OTCBB:VDCI	(8/20/99)	11/27/01 at 2¢	
Corvas Intl	\$3.00	CLOSED POSITION	133%
NASD: CVAS	(9/5/99)	1/13/00 at \$7.00	
American Ecology	\$2.25	CLOSED POSITION	76%
NASD:ECOL	(9/5/99)	4/29/02 at \$3.98	
Iomega Corp.	\$3.25	CLOSED POSITION	113%
NYSE:IOM	(9/20/99)	11/6/00 at \$6.92	
Applied Micro	\$3.25	CLOSED POSITION	102%
NASD:APMC	(9/20/99)	11/17/99 at \$6.56	
Voxware, Inc.	90¢	CLOSED POSITION	171%
NASD: VOXW	(10/5/99)	1/12/00 at \$2.44	
Immunomedics	\$1.44	CLOSED POSITION	105%
NASD:IMMU	(10/5/99)	11/29/99 at \$2.96	
Franklin Elec. Pub.	\$3.56	CLOSED POSITION	59%
NYSE:FEP	(10/20/99)	11/5/99 at \$5.68	
Genicom	56¢	CLOSED POSITION	144%
NASD:GECM	(10/20/99)	12/7/99 at \$1.37	
World of Science	\$1.12	CLOSED POSITION	79%
NASD:WOSI	(11/5/99)	11/15/99 at \$2.00	
Display Technologies	\$4.62	CLOSED POSITION	(99%)
OTCBB:DTEK	(11/5/99)	1/8/02 at 2¢	
PMR Corp.	\$2.00	CLOSED POSITION	93%
NASD: PMRP	(11/20/99)	1/10/00 at \$3.87	
High Plains	\$1.25	CLOSED POSITION	140%
NASD: HIPC	(12/5/99)	1/24/00 at \$3.00	
PLC Systems	\$2.25	CLOSED POSITION	100%
AMEX:PLC	(12/5/99)	3/8/00 at \$4.50	

ProMedCo	\$3.00	CLOSED POSITION	(94%)
NASD:PMCO	(12/20/99)	2/1/01 at 19¢	
HomeCom	\$3.25	CLOSED POSITION	(94%)
OTCBB:HCOM	(1/5/00)	3/29/01 at 19¢	
Immune Response	\$4.43	CLOSED POSITION	103%
NASD:IMNR	(1/5/00)	1/24/00 at \$9.00	
Data Dimensions	\$2.87	CLOSED POSITION	159%
NASD:DDIM	(1/20/00)	3/3/00 at \$7.44	
Pentacon	\$3.75	CLOSED POSITION	(99%)
OTCBB:PTACQ	(1/20/00)	4/16/03 at 4¢	
Phar-mor	\$4.31	CLOSED POSITION	(99%)
NASD:PMORQ	(2/5/00)	2/4/02 at 5¢	
Sports Authority	\$2.44	CLOSED POSITION	74%
NYSE:TSA	(2/20/00)	2/2/01 at \$4.25	
CVF Technologies	\$3.00	CLOSED POSITION	108%
AMEX:CNV	(2/20/00)	3/14/00 at \$6.25	
Value America	\$4.12	CLOSED POSITION	(98%)
OTCBB:VUSQE	(3/5/00)	11/6/00 at 7¢	
Quigley Corp.	\$1.69	CLOSED POSITION	90%
NASD:QGLY	(3/5/00)	1/2/02 at \$3.22	
Lumisys	\$4.18	CLOSED POSITION	(3%)
NASD:LUMI	(3/20/00)	12/26/00 at \$4.05	
(Company bought by Eastman Kodak)			
Draxis Health	\$3.00	CLOSED POSITION	50%
NASD:DRAX	(3/20/00)	1/20/04 at \$4.50	
Cytation	\$2.06	CLOSED POSITION	(99%)
OTCBB:CYTY	(4/5/00)	3/18/02 at 1¢	
(formerly Colleglink.com)			
Trimeddyne	\$3.00	CLOSED POSITION	(93%)
OTCBB:TMED	(4/20/00)	5/5/03 at 22¢	
Aronex Phar.	\$3.00	CLOSED POSITION	(63%)
NASD:ARNX	(4/20/00)	7/12/01 at \$1.10	
Microware Systems	\$2.75	CLOSED POSITION	(76%)
NASD:MWAR	(4/20/00)	8/13/01 at 67¢	
Mortgage.com	\$2.00	CLOSED POSITION	(95%)

NASD:MDCM	(5/5/00)	11/6/00 at 9¢	
Mediconsult.com	\$2.25	CLOSED POSITION	(97%)
OTCBB:MCNS	(5/20/00)	4/3/01 at 5¢	
Emusic.com	\$3.12	CLOSED POSITION	(82%)
NASD:EMUS	(5/20/00)	5/3/01 at 56¢	
FTD.COM, Inc.	\$2.12	CLOSED POSITION	75%
NASD: EFTD	(6/5/00)	1/23/01 at \$3.69	
Tickets.com, Inc.	\$24.96	CLOSED POSITION	(99%)
NASD:TIXX	(6/5/00)	4/22/03 at 35¢	
(Price reflects 8 for 1 reverse Stock split)			
Burlington Inds.	\$2.12	CLOSED POSITION	(97%)
OTCBB:BRLG	(7/5/00)	3/18/02 at 6¢	
Garden.com	\$1.88	CLOSED POSITION	(90%)
NASD:GDEN	(7/20/00)	1/25/01 at 19¢	
Biospecifics	\$2.06	CLOSED POSITION	91%
NASD:BSTC	(7/20/00)	9/18/00 at \$3.94	
Harris International	\$2.68	CLOSED POSITION	52%
NASD:HPOL	(8/5/00)	3/6/02 at \$4.08	
(formerly Total Research)			
Egghead.com	\$2.41	CLOSED POSITION	(87%)
NASD:EGGS	(8/5/00)	8/15/01	
Harris Interactive	\$3.62	CLOSED POSITION	66%
NASD:HPOL	(8/20/00)	1/30/01 at \$6.00	
N2H2, Inc.	\$3.50	CLOSED POSITION	(95%)
OTCBB:NTWO	(8/20/00)	4/22/03 at 21¢	
USEC, Inc.	\$4.31	CLOSED POSITION	97%
NYSE: USU	(9/5/00)	3/29/01 at \$8.69	
Tyler Technologies	\$2.12	CLOSED POSITION	63%
NYSE:TYL	(9/5/00)	8/9/01 at \$3.45	
MediaBay	\$2.18	CLOSED POSITION	58%
NASD:MBAY	(9/20/00)	3/25/02 at \$3.42	
DOR BioPharma	\$2.50	CLOSED POSITION	(77%)
AMEX:DOR	(9/20/00)	9/13/04 at 57¢	
(formerly Endorex)			
Web Street Inc.	\$2.12	CLOSED POSITION	(41%)
NASD:WEBS	(10/5/00)	6/29/01 at \$1.20	

(Merged into E*TRADE)			
Genetronics Bio	\$6.48	CLOSED POSITION	(55%)
AMEX: GEB	(10/5/00)	10/5/04 at \$2.87	
(price reflects 1 for 4 split)			
GSE Systems	\$2.50	CLOSED POSITION	56%
AMEX:GVP	(10/20/00)	3/18/02 at \$3.90	
Strategic Diag.	\$3.12	CLOSED POSITION	91%
NASD:SDIXX	(10/20/00)	(103/01 at \$5.90)	
Matrix Srvc Co.	\$5.00	CLOSED POSITION	70%
NASD:MTRX	(11/5/00)	1/11/02 at \$8.47	
High Speed Access	\$3.00	CLOSED POSITION	(87%)
NASD:HSAC	(11/5/00)	10/3/01 at 40¢	
Antex Biologics	\$3.00	CLOSED POSITION	(96%)
AMEX:ANX	(11/20/00)	3/26/03 at 12¢	
Dot Hill Systems	\$3.50	CLOSED POSITION	78%
NYSE: HIL	(11/20/00)	1/11/01 at \$6.25	
Radio Unica	\$2.88	CLOSED POSITION	80%
NASD:UNCA	(12/5/00)	1/11/01 at \$5.18	
Digital Impact	\$2.62	CLOSED POSITION	73%
NASD: DIGI	(12/5/00)	1/25/01 at \$4.54	
IPrint.com	\$1.12	CLOSED POSITION	(99%)
OTCBB:IPRT	(12/20/00)	1/2/03 at 1¢	
Vestcom Intl.	\$2.00	CLOSED POSITION	52%
NASD:VESC	(12/20/00)	8/13/01 at \$3.04	
Globix	\$2.75	CLOSED POSITION	104%
NASD: GBIX	(1/5/01)	1/12/01 at \$5.62	
Hines Horticulture	\$2.75	CLOSED POSITION	59%
NASD:HORT	(1/5/01)	2/2/01 at \$4.37	
Visionics	\$4.38	CLOSED POSITION	78%
NASD:VSNX	(1/20/01)	7/6/01 at \$7.81	
MCF Corporation	\$2.00	CLOSED POSITION	54%
AMEX:MEM	(1/20/01)	3/1/04 at \$3.08	
(formerly RateXchange)			
Liquent, Inc.	\$2.75	CLOSED POSITION	(18%)
NASD:LQNT	(2/5/01)	11/14/01 at \$2.24	
(formerly ESPS)			

Hauppauge Digital	\$3.62	CLOSED POSITION	71%
NASD:HAUP	(2/5/01)	3/18/04 at \$6.19	
Biolabs, Inc.	\$2.15	CLOSED POSITION	84%
AMEX: GBI	(2/20/01)	7/25/01 at \$3.95	
Digital Power	\$2.00	CLOSED POSITION	(44%)
AMEX:DPW	(2/20/01)	11/26/04 at \$1.11	
OmniSky	\$2.53	CLOSED POSITION	64%
NASD:OMNY	(3/5/01)	5/9/01 at \$4.15	
Usinternetworking	\$2.12	CLOSED POSITION	(99%)
OTCBB:USIQE	(3/5/01)	3/18/02 at 2¢	
Internet Capital	\$2.29	CLOSED POSITION	(79%)
NASD: ICGE	(3/20/01)	5/3/01 at \$4.10	
Avax Technologies	\$1.69	CLOSED POSITION	(87%)
NASD:AVXT	(3/20/01)	8/21/03 at 28¢	
Cell Star	\$1.44	CLOSED POSITION	85%
NASD:CLST	(4/5/01)	7/10/01 at \$2.67	
Repligen	\$2.88	CLOSED POSITION	56%
NASD:RGEN	(4/5/01)	3/25/02 at \$4.48	
Blonder Tongue	\$2.85	CLOSED POSITION	52%
AMEX:BDR	(4/20/01)	8/16/91 at \$4.31	
InSite Vision	\$2.15	CLOSED POSITION	(68%)
AMEX:ISV	(4/20/01)	9/17/03 at 67¢	
Epimmune, Inc.	\$2.95	CLOSED POSITION	60%
NASD:EPMN	(5/5/01)	7/10/01 at \$4.71	
CE Franklin	\$3.75	CLOSED POSITION	2%
AMEX:CFK	(5/5/01)	11/26/04 at \$3.84	
Network Plus	\$3.30	CLOSED POSITION	(99%)
NASD:NPLSQ	(5/20/01)	3/13/02 at 3¢	
IRIDEX	\$4.10	CLOSED POSITION	54%
NASD:IRIX	(5/20/01)	1/9/02 at \$6.32	
Newtek Capital	\$3.25	CLOSED POSITION	57%
AMEX:NKC	(6/5/01)	4/29/02 at \$5.10	
AROTECH	\$3.20	CLOSED POSITION	(66%)
NASD: ARTX	(6/5/01)	7/27/05 at \$1.10	
(formerly Electric Fuel)			
MediChem Life Sci.	\$3.60	CLOSED POSITION	(47%)
NASD:MCLS(acquired	(6/20/01)	3/6/02 at \$1.92	

by deCODE Genetics)			
Metricom	\$2.73	CLOSED POSITION	(98%)
NASD:MCOMQ	(6/20/01)	3/18/02 at 6¢	
Aalteon	\$3.05	CLOSED POSITION	58%
AMEX:ALT	(7/5/01)	12/11/01 at \$4.83	
Metawave	\$3.64	CLOSED POSITION	(99%)
NASD:MTWV	(7/5/01)	2/3/03 at 3¢	
Dense-Pac	\$2.85	CLOSED POSITION	56%
NASD:DPAC	(7/20/01)	1/8/02 at \$4.45	
Monogram Bio.	\$3.44	CLOSED POSITION	(52%)
NASD:MGRM	(7/20/01)	11/2/06 at \$1.65	
(formerly ViroLogic)			
CompleTel Europe	\$1.95	CLOSED POSITION	(94%)
NASD:CLTLF.PK	(8/5/01)	11/1/02 at 11¢	
Hydrogenics	\$3.50	CLOSED POSITION	77%
NASD:HYGS	(8/20/01)	11/29/01 at \$6.20	
Hydrogencis	\$3.50	CLOSED POSITION	77%
NASD:HYGS	(8/20/01)	11/29/01 at \$6.20	
Pharsight	\$1.70	CLOSED POSITION	(96%)
OTCBB:PHST	(8/20/01)	4/16/03 at 6¢	
Triangle Pharma.	\$3.20	CLOSED POSITION	63%
NASD:VIRS	(9/5/01)	2/26/02 at \$5.21	
Net List	\$1.80	CLOSED POSITION	68%
NASD: GLUU	(9/5/11)	11/15/11 at \$3.15	
Captaris	\$2.05	CLOSED POSITION	67%
NASD:CAPA	(9/5/01)	11/29/01 at \$3.42	
AOL Latin America	\$3.65	CLOSED POSITION	69%
NASD:AOLA	(9/20/01)	11/7/01 at \$6.17	
OXiGENE	\$2.80	CLOSED POSITION	64%
NASD:OXGN	(9/20/01)	5/27/03 at \$4.58	
NewPower	\$2.69	CLOSED POSITION	(68%)
NYSE:NPW	(10/5/01)	2/13/02 at 85¢	
Abraxas Petroleum	\$1.76	CLOSED POSITION	56%
AMEX:ABP	(10/5/01)	1/29/04 at \$2.75	
SpectraSite	\$2.75	CLOSED POSITION	(95%)
NASD:SITE	(10/20/01)	11/4/02 at 12¢	

LifePoint	\$2.64	CLOSED POSITION	67%
AMEX:LFP	(10/20/01)	2/4/02 at \$4.42	
GenVec	\$3.05	CLOSED POSITION	62%
NASD:GNVC	(11/5/01)	1/2/02 at \$4.95	
Access Pharma	\$4.10	CLOSED POSITION	57%
AMEX:AKC	(11/5/01)	1/8/04 at \$6.45	
Saba Software	\$18.00	CLOSED POSITION	(69%)
NASD:SABAD	(11/20/01)	7/9/03 at \$5.40	
(price reflects 1 for 4 split)			
Evergreen Solar	\$2.80	CLOSED POSITION	70%
NASD:ESLR	(12/5/01)	1/9/02 at \$4.77	
SciClone Pharma.	\$2.80	CLOSED POSITION	72%
NASD:SCLN	(12/5/01)	3/13/03 at \$4.83	
InSilicon	\$2.75	CLOSED POSITION	45%
NASD:INSN	(12/20/01)	7/24/02 at \$4.00	
(acquired by Synopsys)			
Orthovita	\$3.35	CLOSED POSITION	51%
NASD:VITA	(12/20/01)	6/8/04 at \$5.06	
Persistence Software	\$1.20	CLOSED POSITION	71%
NASD:PRSW	(1/5/02)	1/9/02 at \$2.05	
Superconductor	\$3.85	CLOSED POSITION	60%
Technologies	(1/5/02)	11/14/03 at \$6.15	
NASD:SCON			
(Acquired Conductus)			
Epoch BioSciences	\$2.26	CLOSED POSITION	63%
NASD:EBIO	(1/20/02)	9/25/03 at \$3.68	
Airspan Networks, Inc.	\$2.45	CLOSED POSITION	62%
NASD:AIRN	(1/20/02)	1/7/04 at \$3.98	
Aerogen	\$2.70	CLOSED POSITION	(92%)
NASD:AEGN	(2/5/02)	5/5/03 at 22¢	
VASCO Security	\$2.95	CLOSED POSITION	115%
NASD:VDSI	(2/5/02)	11/26/04 at \$6.35	
Silicon Graphics	\$2.80	CLOSED POSITION	72%
NYSE:SGI	(2/20/02)	3/13/02 at \$4.82	
Organogenesis	\$1.95	CLOSED POSITION	(95%)
AMEX:ORG	(2/20/02)	8/20/02 at 9¢	

Cepheid	\$2.65	CLOSED POSITION	88%
NASD:CPHD	(3/5/02)	3/11/02 at \$4.95	
Mechanical Tech	\$3.10	CLOSED POSITION	104%
NASD:MKTY	(3/5/02)	9/23/03 at \$6.32	
DMC Stratex	\$1.65	CLOSED POSITION	69%
NASD:STXN	(3/20/02)	11/4/02 at \$2.79	
Catalyst Intl.	\$3.00	CLOSED POSITION	(55%)
OTCBB:CLYS	(3/20/02)	10/16/03 at \$1.35	
Magic Software	\$1.65	CLOSED POSITION	85%
NASD:MGIC	(3/20/02)	8/26/03 at \$3.05	
Informax	\$1.75	CLOSED POSITION	(23%)
NASD:INMX	(4/5/02)	10/20/02 at \$1.35	
(being acquired by Invitrogen)			
DigitalThink	\$2.25	CLOSED POSITION	57%
NASD:DTHK	(4/5/02)	5/19/03 at \$3.53	
Genomic Solutions	\$1.05	CLOSED POSITION	(22%)
NASD:GNSL	(4/20/02)	7/18/02 at 82¢	
(acquired by Harvard Bioscience)			
Argonaut	\$2.65	CLOSED POSITION	(69%)
NASD:AGNT	(4/20/02)	1/25/05 at 81¢	
NeoTherapeutics	\$16.25	COSED POSITION	(68%)
NASD:SPPI	(5/5/02)	7/9/03 at \$5.05	
(price relects 1 for 25 stock split recommended at 65¢)			
eXegenics	\$1.25	CLOSED POSITION	(54%)
NASD:EXEG	(5/5/02)	6/2/03 at 57¢	
Nanogen	\$2.95	CLOSED POSITION	68%
NASD:NGEN	(5/20/02)	6/2/03 at \$4.96	
Optibase	\$2.60	CLOSED POSITION	72%
NASD:OBAS	(5/20/02)	7/10/03 at \$4.48	
BioTransplant	\$3.10	CLOSED POSITION	(96%)
NASD:BTRN	(6/5/02)	2/28/03 at 12¢	
Immersion	\$2.07	CLOSED POSITION	158%
NASD:IMMR	(6/5/02)	7/29/03 at \$5.35	
InteliData	\$1.50	CLOSED POSITION	68%
NASD:INTD	(6/20/02)	5/14/03 at \$2.52	

Bruker AXS	\$2.20	CLOSED POSITION	57%
NASD:BAXS	(6/20/02)	6/30/03 at \$3.46	
Diomed	\$2.03	CLOSED POSITION	(85%)
AMEX:DIO	(7/5/02)	1/5/04 at 30¢	
Hemispherx	\$2.50	CLOSED POSITION	54%
AMEX:HEB	(7/5/02)	1/29/04 at \$3.85	
Elect. Clearing	\$1.15	CLOSED POSITION	107%
NASD:ECHO	(7/20/02)	12/11/02 at \$2.38	
Rigel Pharma	\$22.32	CLOSED POSITION	(36%)
NASD:RIGL	(7/20/02)	9/17/03 at \$14.08	
(Price reflects 1 for 9 reverse split)			
Compugen	\$1.55	CLOSED POSITION	60%
NASD:CGEN	(8/5/02)	1/23/02 at \$2.48	
GenereX	\$2.20	CLOSED POSITION	(71%)
NASD:GNBT	(8/5/02)	8/29/05 at 63¢	
Orbital Sciences	\$4.00	CLOSED POSITION	51%
NYSE:ORB	(8/20/02)	3/17/03 at \$6.05	
SpeechWorks	\$2.75	CLOSED POSITION	62%
NASD:SPWX	(9/5/02)	4/24/03 at \$4.45	
Edgar Online	\$1.20	CLOSED POSITION	57%
NASD:EDGR	(9/5/02)	9/16/02 at \$1.88	
UQM Technologies	\$2.75	CLOSED POSITION	56%
AMEX:UQM	(9/20/02)	9/18/02 at \$4.28	
Millennium Cell	\$2.35	CLOSED POSITION	70%
NASD:MCEL	(9/20/02)	9/18/03 at \$4.00	
Convansys	\$1.70	CLOSED POSITION	(31%)
NASD:CVNS	(10/5/02)	10/22/02 at \$2.85	
Quovadx	\$1.48	CLOSED POSITION	61%
NASD:QVDX	(10/5/02)	11/7/02 at \$2.38	
Interlink	\$2.85	CLOSED POSITION	61%
NASD:LINK	(10/20/02)	11/7/02 at \$4.58	
Harvard Bio	\$2.80	CLOSED POSITION	74%
NASD:HBIO	(10/20/02)	6/5/03 at \$4.86	
Oak Technology	\$1.80	CLOSED POSITION	94%
NASD:OAKT	(11/5/02)	11/25/02 at \$3.49	
Bio-Tech General	\$3.00	CLOSED POSITION	55%
NASD:BTGC	(11/5/02)	11/25/02 AT \$4.65	

Titan Pharma	\$2.10	CLOSED POSITION	89%
AMEX:TTP	(11/20/02)	10/17/03 at \$3.98	
Viewpoint	\$2.85	CLOSED POSITION	(68%)
NASD:VWPT	(11/20/02)	1/21/04 at 91¢	
Vasogen	\$2.30	CLOSED POSITION	60%
AMEX:VSV	(12/5/02)	4/15/03 at \$3.68	
Interactive Intel.	\$3.25	CLOSED POSITION	65%
NASD:ININ	(12/5/02)	11/26/03 at \$5.38	
ARRIS Group	\$2.20	CLOSED POSITION	82%
NASD:ARRS	(12/20/02)	1/2/03 at \$4.00	
LogicVision	\$1.85	CLOSED POSITION	52%
NASD:LGVN	(12/20/02)	6/4/03 at \$2.81	
LookSmart	\$2.40	CLOSED POSITION	58%
NASD:LOOK	(1/5/03)	4/22/03 at \$3.79	
Physiometrix	55¢	CLOSED POSITION	75%
NASD:PHYX	(1/5/03)	3/26/03 at 96¢	
Kana Software	\$3.55	CLOSED POSITION	53%
NASD:KANA	(1/20/03)	4/15/03 at \$5.45	
Allscripts	\$2.15	CLOSED POSITION	66%
NASD:MDRX	(1/20/03)	5/6/03 at \$3.58	
Applied Molecular	\$2.40	CLOSED POSITION	58%
NASD:AMEV	(2/5/03)	5/14/03 at \$3.80	
Interep	\$1.75	CLOSED POSITION	71%
NASD:IREP	(2/5/03)	6/30/03 at \$3.00	
Avaya	\$2.25	CLOSED POSITION	60%
NYSE:AV	(2/20/03)	4/29/03 at \$3.60	
E*TRADE	\$3.80	CLOSED POSITION	66%
NYSE:ET	(2/20/03)	5/6/03 at \$6.30	
Eden Bioscience	\$1.25	CLOSED POSITION	74%
NASD:EDEN	(3/5/03)	4/7/03 at \$2.18	
Intergrated Silicon	\$2.65	CLOSED POSITION	50%
NASD:ISSI	(3/5/03)	5/6/03 at \$3.98	
FreeMarkets	\$4.50	CLOSED POSITION	62%
NASD:FMKT	(3/20/03)	4/22/03 at \$7.29	
Interwoven	\$1.90	CLOSED POSITION	58%
NASD:IWOV	(3/20/03)	7/10/03 at \$2.96	

Concurrent Computer	\$2.15	CLOSED POSITION	57%
NASD:CCUR	(4/5/03)	6/5/03 at \$3.38	
Nanometrics	\$4.05	CLOSED POSITION	60%
NASD: NANO	(4/5/03)	5/15/03 at \$6.47	
ArQule	\$2.70	CLOSED POSITION	60%
NASD:ARQL	(4/20/03)	4/29/03 at \$4.33	
Medarex	\$3.20	CLOSED POSIITON	57%
NASD:MEDX	(4/20/03)	5/14/03 at \$5.02	
Sonic Innovations	\$3.60	CLOSED POSITION	77%
NASD:SNCI	(5/5/03)	8/21/03 at \$6.38	
Cyro-Cell	\$1.10	CLOSED POSITION	71%
OTCBB:CCEL	(5/5/03)	6/22/04 at \$1.88	
MIPS Technologies	\$2.60	CLOSED POSITION	63%
NASD:MIPS	(5/20/03)	8/29/03 at \$4.23	
MIPS Technologies	\$2.60	CLOSED POSITION	63%
NASD:MIPS	(5/20/03)	(8/29/03 at \$4.23)	
Misonix	\$3.65	CLOSED POSITION	68%
NASD:MSON	(5/20/03)	1/14/04 at \$6.15	
Magnetek	\$2.25	CLOSED POSITION	58%
NYSE:MAG	(6/5/03)	7/10/03 at \$3.56	
Sequenom	\$2.65	CLOSED POSITION	57%
NASD:SQNM	(6/5/03)	9/17/03 at \$4.17	
Jacada	\$2.46	CLOSED POSITION	81%
NASD:JCDA	(6/20/03)	1/7/04 at \$4.45	
Allos Thera.	\$3.30	CLOSED POSITION	54%
NASD:ALTH	(6/20/03)	2/19/04 at \$5.08	
Syntroleum	\$2.65	CLOSED POSITION	103%
NASD:SYNM	(7/5/03)	9/9/03 at \$5.39	
Caliper	\$4.55	CLOSED POSITION	58%
NASD:CALP	(7/5/03)	11/13/03 at \$7.18	
Diedrich Coffee	\$3.00	CLOSED POSITION	61%
NASD:DDRX	(7/20/03)	11/18/03 at \$4.84	
Paradyne Networks	\$2.15	CLOSED POSITION	73%
NASD:PDYN	(7/20/03)	10/17/03 at \$3.72	
NIC, Inc.	\$3.50	CLOSED POSITION	59%

NASD:EGOV	(8/5/03)	10/16/03 at \$5.57	
Art Technology	\$2.05	CLOSED POSITION	67%
NASD:ARTG	(8/5/03)	3/10/06 at \$3.43	
Marimba	\$3.66	CLOSED POSITION	55%
NASD:MRBA	(8/20/03)	11/10/03 at \$5.68	
GTC Bio	\$2.40	CLOSED POSITION	52%
NASD:GTCB	(8/20/03)	10/16/03 at \$3.66	
NeoMagic	\$2.00	CLOSED POSITION	56%
NASD:NMGC	(9/5/03)	9/9/03 at \$3.12	
Tuts Systems	\$3.50	CLOSED POSITION	75%
NASD:TUTS	(9/5/03)	9/23/03 at \$6.12	
Sunrise Telecom	\$2.50	CLOSED POSITION	70%
NASD:SRTI	(9/20/03)	10/27/03 at \$4.25	
Distributed Energy	\$2.20	CLOSED POSITION	50%
NASD:DESC	(9/20/03)	1/21/04 at \$3.30	
(formerly Proton Energy)			
MetaSolv	\$2.20	CLOSED POSITION	64%
NASD:MSLV	(10/5/03)	1/21/04 at \$3.60	
Targeted Genetics	\$2.60	CLOSED POSITION	(77%)
NASD:TGEN	(10/5/03)	10/26/05 at 60¢	
Nuvelo	\$2.85	CLOSED POSITION	68%
NASD:NUVO	(10/20/03)	1/12/04 at \$4.80	
Trio-Tech	\$3.95	CLOSED POSITION	50%
AMEX:TRT	(10/20/03)	11/9/05 at \$5.93	
Insmmed	\$3.44	CLOSED POSITION	(49%)
NASD:INSM	(11/5/03)	3/2/07 at \$1.55	
A.P. Pharma	\$2.35	CLOSED POSITION	60%
NASD:APPA	(11/5/03)	3/23/04 at \$3.76	
V.I. Technologies	\$23.80	CLOSED POSITION	(78%)
NASD:VITX	(11/20/03)	3/16/05 at	
(Price reflects 1 for 10 Reverse split)			
Active Power	\$3.50	CLOSED POSITION	67%
NASD:ACPW	(11/20/03)	4/18/06 at \$5.85	
AVANT Immuno	\$2.60	CLOSED POSITION	(62%)
NASD:AVAN	(12/5/03)	5/21/07 at 98¢	

SatCon	\$2.45	CLOSED POSITION	60%
NASD:SATC	(12/5/03)	4/16/04 at \$3.92	
Sirius Sat. Rad.	\$2.20	CLOSED POSITION	86%
NASD:SIRI	(12/20/03)	1/5/04 at \$4.10	
Curon Medical	\$3.20	CLOSED POSITION	67%
NASD: CURN	(12/20/03)	1/20/04 at \$5.35	
JMAR Techno.	\$2.75	CLOSED POSITION	70%
NASD:JMAR	(1/5/04)	1/12/04 at \$4.68	
Actuate	\$3.15	CLOSED POSITION	50%
NASD:ACTU	(1/5/04)	4/20/06 at \$4.75	
ImageWare	\$3.28	CLOSED POSITION	59%
AMEX:IW	(1/20/04)	3/5/04 at \$5.23	
Peerless Syst.	\$2.55	CLOSED POSITION	61%
NASD:PRLS	(1/20/04)	5/27/05 at \$4.10	
Analysts Intl.	\$2.98	CLOSED POSITION	54%
NASD:ANLY	(2/5/04)	9/30/04 at \$4.58	
Crossroads Systems	\$3.15	CLOSED POSITION	(77%)
CRDS.PK	(2/5/04)	1/24/06 at 70¢	
Oplink Comm.	\$17.29	CLOSED POSITION	(27%)
NASD:OPLK	(2/20/04)	11/11/05 at \$12.55	
(price reflects 1 for 7 reverse split)			
Somera Comm.	\$26.40	CLOSED POSITION	(88%)
NASD:SMRA	(2/20/04)	4/18/06 at \$3.35	
(price reflects 1 for 10 reverse split)			
Pinnacle Data	\$2.40	CLOSED POSITION	61%
AMEX:PNS	(3/5/04)	4/27/04 at \$3.88	
Transgene	\$2.60	CLOSED POSITION	(24%)
NASD:TRGNY	(3/5/04)	9/26/05 at \$2.75	
OpenTV	\$2.80	CLOSED POSITION	(42%)
NASD:OPTV	(3/20/04)	5/12/08 AT \$1.62	
Socket Comm.	\$2.83	CLOSED POSITION	(63%)
NASD:SCKT	(3/20/04)	4/10/07 at \$1.00	
NexMed	\$2.70	CLOSED POSITION	(72%)
NASD:NEXM	(4/5/04)	9/18/06 at 76¢	
Palatin Tech	\$4.10	CLOSED POSITION	(93%)
AMEX:PTN	(4/5/04)	5/12/08 at 27¢	

AVI BioPharma	\$3.10	CLOSED POSITION	57%
NASD:AVII	(4/20/04)	1/12/06 at \$4.87	
TMNG Global	\$3.44	CLOSED POSITION	89%
NASD: TMNG	(4/20/04)	5/1/09 at 38¢	
(formerly Management Network)			
Glenayre	\$2.32	CLOSED POSITION	60%
NASD:GEMS	(5/5/04)	6/10/05 at \$3.72	
GoRemote Comm.	\$3.00	CLOSED POSITION	(45%)
NASD:GRIC	(5/5/04)	12/28/05 at \$1.65	
(formerly Gric Comm.)			
(being acquired by iPass)			
EMCORE	\$2.77	CLOSED POSITION	52%
NASD:EMKR	(5/20/04)	6/2/05 at \$4.22	
Glowpoint	\$1.89	CLOSED POSITION	(65%)
Pink Sheets: GLOW	(5/20/04)	1/10/06 at 67¢	
Bindview Develop.	\$3.00	CLOSED POSITION	30%
NASD:BVEW	(6/5/04)	10/20/05 at \$3.90	
(being acquired by Symantec)			
Network Engines	\$3.15	CLOSED POSITION	(77%)
NASD: NENG	(6/5/04)	5/1/09 at 71¢	
Altair Nano	\$2.30	CLOSED POSITION	105%
NASD:ALTI	(6/20/04)	2/10/05 at \$4.72	
Zi Corp.	\$2.19	CLOSED POSITION	57%
NASD:ZICA	(6/20/04)	10/1/04 at \$3.45	
Metron Tech.	\$2.43	CLOSED POSITION	80%
NASD:MTCH	(7/5/04)	8/17/04 at \$4.39	
AIXTRON	\$6.67	CLOSED POSITION	50%
NASD: AIXG	(7/5/04)	5/28/08 at \$9.98	
(formerly Management Network)			
GigiMedia	\$1.00	CLOSED POSITION	55%
NASD:GIGM	(7/20/04)	10/5/04 at \$1.55	
Avanex	\$3.30	CLOSED POSITION	(78%)
NASD:AVNX	(7/20/04)	11/25/05 at 72¢	
IONA Technologies	\$3.15	CLOSED POSITION	53%
NASD:IONA	(8/5/04)	10/14/04 at \$4.82	

TriPath	\$2.30	CLOSED POSITION	(81%)
TRPH.OB	(8/5/04)	1/5/06 at 44¢	
Tegal	90¢	CLOSED POSITION	55%
NASD: TGAL	(8/20/04)	9/13/04 at \$1.40	
Quidel	\$3.15	CLOSED POSITION	56%
NASD: QDEL	(8/20/04)	9/13/04 at \$4.92	
Vitria	\$2.85	CLOSED POSITION	52%
NASD: VITR	(9/5/04)	1/4/05 at \$4.33	
Convera	\$2.63	CLOSED POSITION	60%
NASD: CNVR	(9/5/04)	10/5/04 at \$4.20	
Intraware	\$1.35	CLOSED POSITION	(71%)
NASD: ITRA	(9/20/04)	7/6/05 at 39¢	
Chordiant	\$6.50	CLOSED POSITION	45%
NASD: CHRD	(9/20/04)	3/2/07 at \$9.40	
Price reflects reverse split			
Corio	\$1.50	CLOSED POSITION	85%
NASD: CRIO	(10/5/04)	1/25/05 at \$2.78	
Aviza	\$7.07	CLOSED POSITION	(92%)
NASD: AVZA	(10/5/04)	5/28/08 at 53¢	
(formerly Trikon before Merger)			
AIXTRON	\$6.67	CLOSED POSITION	50%
NASD: AIXG	(7/5/04)	9/27/07 at \$9.98	
(formerly Genus)			
SCM Microsystems	\$2.90	CLOSED POSITION	57%
NASD: SCMM	(10/20/04)	12/7/04 at \$4.56	
IGATE	\$3.80	CLOSED POSITION	50%
NASD: IGTE	(10/20/04)	1/6/06 at \$5.68	
Net2Phone	\$3.45	CLOSED POSITION	(41%)
NASD: NTOP	(11/5/04)	12/28/05 at \$2.05	
(being acquired by IDT)			
Nova Measuring	\$3.70	CLOSED POSITION	(26%)
NASD: NVMI	(11/5/04)	10/8/09 at \$2.72	
StemCells	\$2.48	CLOSED POSITION	71%
NASD: STEM	(11/20/04)	12/28/04 at \$4.24	
Applied Micro Cir.	\$13.80	CLOSED POSITION	(42%)
NASD: AMCC	(11/20/04)	2/13/08 at \$8.03	
(Price reflects 1 for 4 reverse split)			

AXM Pharma	\$2.32	CLOSED POSITION	53%
AMEX:AXJ	(12/5/04)	12/22/04 at \$3.55	
Zhone Technologies	\$2.45	CLOSED POSITION	54%
NASD:ZHNE	(12/5/04)	7/6/05 at \$3.78	
Advancis Pharma	\$3.75	CLOSED POSITION	52%
NASD:AVNC	(12/20/04)	9/18/06 at \$5.69	
QuickLogic	\$2.59	CLOSED POSITION	60%
NASD:QUIK	(12/20/04)	2/16/05 at \$4.15	
Brilliant	\$3.45	CLOSED POSITION	71%
NASD:BRLC	(1/5/05)	10/20/05 at \$5.89	
B.O.S.	\$3.45	CLOSED POSITION	(88%)
NASD:BOSC	(1/5/05)	6/3/09 at 42¢	
Insweb	\$2.88	CLOSED POSITION	58%
NASD:INSW	(1/20/05)	9/27/05 at \$4.56	
Xcyte	\$2.44	CLOSED POSITION	(70%)
NASD: XCYT	(1/20/05)	3/16/06 at 72¢	
Three-Five Syst.	\$1.70	CLOSED POSITION	(65%)
NYSE:TFS	(2/5/05)	6/9/05 at 60¢	
Savient Pharma	\$3.00	CLOSED POSITION	52%
NASD:SVNT	(2/5/05)	7/11/05 at \$4.58	
Centra Software	\$2.60	CLOSED POSITION	(23%)
NASD:CTRA	(2/20/05)	10/20/05 at \$1.77	
(being acquired by Saba Software)			
Mindspeed Tech.	\$2.60	CLOSED POSITION	57%
NASD:MSPD	(2/20/05)	2/9/06 at \$4.06	
E-LOAN	\$3.20	CLOSED POSITION	29%
NASD:EELN	(3/5/05)	8/29/05 at \$4.12	
(being acquired by Popular,Inc.)			
Tumbleweed	\$2.60	CLOSED POSITION	52%
NASD:TMWD	(3/5/05)	9/14/05 at \$3.95	
Repligen	\$1.70	CLOSED POSITION	97%
NASD:RGEN	(3/20/05)	7/27/05 at \$3.35	
AEHR Test Syst	\$3.00	CLOSED POSITION	53%
NASD:AEHR	(3/20/05)	1/5/06 at \$4.60	

Loudeye	\$14.45	CLOSED POSITION	(69%)
NASD:LOUD	(4/5/05)	8/16/06 at \$4.36	
(price reflects 1 for 10 split)			
(Acquired by Nokia)			
Verticalnet	\$6.16	CLOSED POSITION	(88%)
NASD:VERT	(4/5/05)	10/18/06 at 75¢	
(Price reflects 1 for 7 split)			
Nuanace Comm.	\$2.66	CLOSED POSITION	75%
NASD:NUAN	(4/20/05)	5/10/05 at \$4.65	
Optical Comm	\$1.62	CLOSED POSITION	50%
NASD:OCPI	(4/20/05)	11/25/05 at \$2.43	
Therma-Wave	\$1.42	CLOSED POSITION	76%
NASD:TWAV	(5/5/05)	6/23/05 at \$2.50	
InfoSonics	\$2.45	CLOSED POSITION	69%
AMEX:IFO	(5/5/05)	7/27/05 at \$4.15	
Exact Sciences	\$2.26	CLOSED POSITION	106%
NASD:EXAS	(5/20/05)	1/10/06 at \$4.75	
Vion Pharma	\$26.10	CLOSED POSITION	(96%)
NASD:VION	(5/20/05)	7/8/08 at \$1.04	
(Price reflects 1 for 10 Reverse split)			
Sirenza Micro	\$3.25	CLOSED POSITION	53%
NASD:SMDI	(6/5/05)	1/6/06 at \$4.98	
Commerce Energy	\$1.62	CLOSED POSITION	67%
AMEX: EGR	(6/5/05)	3/26/07 at \$2.71	
Osteotech	\$3.55	CLOSED POSITION	64%
NASD:OSTE	(6/20/05)	8/29/05 at \$5.82	
Tele Systems	\$2.22	CLOSED POSITION	52%
NASD:TSYS	(6/20/05)	4/18/06 at \$3.38	
Lime Energy	\$12.70	CLOSED POSITION	(92%)
OTCBB: ELCY	(7/5/05)	4/9/07 at 95¢	
(Price reflects 1 for 15 reverse split)			
(Previously Electric City)			
Innodata	\$2.93	CLOSED POSITION	104%
NASD: INOD	(7/5/05)	11/14/07 at \$6.00	
CDC Corp.	\$2.80	CLOSED POSITION	56%
NASD:CHINA	(7/20/05)	1/19/06 at \$4.36	
Centillum	\$2.37	CLOSED POSITION	57%

NASD:CTLM	(7/20/05)	9/9/05 at \$3.72	
Zi Corp	\$2.57	CLOSED POSITION	(69%)
NASD:ZICA	(8/5/05)	4/13/09 at 80¢	
(acquired by Nuance Communication)			
NAS Medical	\$16.50	CLOSED POSITION	(99%)
Pink Sheets:NASM.PK	(8/5/05)	5/18/09 at 2¢	
(price reflects 1 for 5 reverse split)			
@ROAD	\$3.50	CLOSED POSITION	56%
NASD:ARDI	(8/20/05)	11/11/05 at \$5.47	
Ibis Technology	\$1.93	CLOSED POSITION	56%
NASD:IBIS	(8/20/05)	12/28/05 at \$3.00	
Staktek Holdings	\$3.25	CLOSED POSITION	86%
NASD:STAK	(9/5/05)	11/29/05 at \$6.05	
EntreMed	\$2.53	CLOSED POSITION	(75%)
NASD: ENMD	(9/5/05)	6/19/09 at 64¢	
Dyadic Intl.	\$2.40	CLOSED POSITION	72%
AMEX: DIL	(9/20/05)	3/22/06 at \$4.13	
Nephros	\$2.95	CLOSED POSITION	(56%)
AMEX: NEP	(9/20/05)	7/16/07 at \$1.30	
Infinity Pharmaceuticals	\$12.80	CLOSED POSITION	20%
NASD:INFI	(10/5/05)	10/18/06 at \$15.80	
(formerly Discovery Partners)			
(Price reflect for 4 for 1 split)			
RAE Systems	\$3.40	CLOSED POSITION	(78%)
AMEX: RAE	(10/5/05)	12/8/09 at 73¢	
ThinkEngine Networks	\$2.35	CLOSED POSITION	57%
AMEX: THN	(10/20/05)	1/12/07 at \$3.70	
(formerly Cognitronics)			
Westell	\$3.90	CLOSED POSITION	(68%)
NASD: WSTL	(10/20/05)	7/28/09 at \$1.22	
Memory Pharma	\$2.14	CLOSED POSITION	80%
NASD: MEMY	(11/5/05)	1/8/07 at \$3.88	
Iona Technologies	\$3.10	CLOSED POSITION	52%
NASD:IONA	(11/5/05)	4/26/06 at \$4.73	
NEON Systems	\$3.90	CLOSED POSITION	58%
NASD:NEON	(11/20/05)	12/29/05 at \$6.16	
(being acquired by Progress Software)			

Napster	\$3.20	CLOSED POSITION	53%
NASD:NAPS	(11/20/05)	1/31/06 at \$4.90	53%
Bio-Imaging Tech	\$2.51	CLOSED POSITION	85%
NASD:BITI	(12/5/05)	1/24/06 at \$4.65	
Fusion Telecom	\$2.60	CLOSED POSITION	(74%)
AMEX: FSN	(12/5/05)	5/21/07 at 70¢	
AuthentiDate	\$2.00	CLOSED POSITION	71%
NASD:ADAT	(12/20/05)	3/16/06 at \$3.42	
Digital Angel	\$3.40	CLOSED POSITION	(58%)
AMEX: DIGA	(12/20/05)	7/28/09 at \$1.44	
ThinkEngine Networks	\$2.35	CLOSED POSITION	57%
AMEX:THN	(10/20/05)	1/12/07 at \$3.70	
Memory Pharma	\$2.14	CLOSED POSITION	80%
NASD: MEMY	(11/5/05)	1/8/07 at \$3.88	
Ceragon Networks	\$3.80	CLOSED POSITION	51%
NASD:CRNT	(1/5/06)	11/16/06 at \$5.73	
Castelle	\$3.10	CLOSED POSITION	24%
NASD: CSTL	(1/5/06)	5/21/07 at \$3.85	
(being acquired by Captaris)			
Dynacq Healthcare	\$2.65	CLOSED POSITION	170%
NASD: DYII	(1/20/06)	7/16/07 at \$7.15	
MIND C.T.I.	\$3.28	CLOSED POSITION	(56%)
NASD: MNDO	(2/5/06)	9/14/09 at \$1.43	
Gateway, Inc.	\$2.75	CLOSED POSITION	(31%)
NYSE: GTW	(2/5/06)	9/18/07 at \$1.89	
(being acquired by Acer)			
Lipid Sciences	\$2.54	CLOSED POSITION	(88%)
NASD: LIPD	(2/20/06)	10/3/08 at 30¢	
Adherex Tech.	\$1.25	CLOSED POSITION	(95%)
TOR:AHX	(2/20/06)	6/2/09 at 6¢	
Q Comm Int'l	\$2.50	CLOSED POSITION	(88%)
AMEX: QMM	(3/5/06)	11/9/06 at 29¢	
Inventure Foods	\$2.90	CLOSED POSITION	54%
NASD:SNAK	(3/5/06)	12/9/10 at \$4.47	
(formerly Poore Bros.)			
Cytogen	\$3.40	CLOSED POSITION	(24%)

NASD: CYTO	(3/20/06)	3/20/08 at 57¢	
(being acquired by EUSA Pharma)			
TII Network	\$2.35	CLOSED POSITION	51%
NASD:TIII	(3/20/06)	1/27/11 at \$3.55	
ThermoGenesis	\$3.95	CLOSED POSITION	(85%)
NASD: KOOL	(4/5/06)	12/8/09 at 49¢	
ClickSoftware	\$1.58	CLOSED POSITION	53%
NASD:CKSW	(4/5/06)	8/16/06 at \$2.42	
Investors Capital	\$3.18	CLOSED POSITION	65%
AMEX:ICH	(4/20/06)	9/18/06 at \$5.24	
Pharmos Corp.	\$2.42	CLOSED POSITION	(83%)
OTCBB: PARS.PK	(4/20/06)	8/5/09 at 40¢	
Kintera, Inc.	\$1.47	CLOSED POSITION	75%
NASD: KNTA	(5/5/06)	7/2/07 at \$2.58	
TRI-S Security	\$2.90	CLOSED POSTION	(83%)
Pink Sheets: TRIS.OB	(5/5/06)	8/8/09 at 50¢	
02Diesel	\$1.33	CLOSED POSITION	(88%)
Pink Sheets: OTOD.OB	(5/20/06)	6/18/07 at 15¢	
ICAD	\$1.67	CLOSED POSITION	60%
NASD:ICAD	(5/20/06)	11/2/06 at \$2.68	
Curis, Inc.	\$1.55	CLOSED POSITION	50%
NASD: CRIS	(6/5/06)	4/24/07 at \$2.33	
Micro Linear	\$2.75	CLOSED POSITION	20%
NASD:MLIN	(6/5/06)	8/16/06 at \$3.30	
(acquired by Sirenza Microdevices)			
BSQUARE Corp.	\$1.98	CLOSED POSITION	53%
NASD:BSQR	(6/20/06)	1/12/07 at \$3.03	
Spherix, Inc.	\$1.82	CLOSED POSTION	60%
NASD: SPEX	(6/20/06)	4/24/07 at \$2.91	
NTN Buzztime	\$1.42	CLOSED POSITION	(73%)
AMEX:NTN	(7/5/06)	12/23/10 at 39¢	
Point Therapeutics	\$2.42	CLOSED POSITION	(78%)
NASD: POTP	(7/5/06)	4/20/07 at 53¢	
Microvision	\$1.75	CLOSED POSITION	68%
NASD:MVIS	(7/20/06)	11/2/06 at \$2.95	

Advanced Life Sci.	\$2.95	CLOSED POSITION	(54%)
Bulletin Board:ADLS.OB	(7/20/06)	6/3/09 at \$1.35	
American Technology	\$2.15	CLOSED POSITION	60%
NASD:ATCO	(8/5/06)	9/5/06 at \$3.45	
RIT Technologies	\$1.10	CLOSED POSITION	52%
NASD:RITT	(8/5/06)	3/5/07 at \$1.67	
SCO Group	\$2.45	CLOSED POSITION	(54%)
NASD:SCOX	(8/20/06)	1/8/07 at \$1.13	
Telular	\$2.00	CLOSED POSITION	82%
NASD:WRLS	(8/20/06)	11/24/06 at \$3.65	
GoAmerica	\$3.00	CLOSED POSITION	53%
NASD:GOAM	(9/5/06)	11/9/06 at \$4.60	
TVI Corp.	\$2.20	CLOSED POSITION	(98%)
NASD: TVIN	(9/5/06)	8/5/09 at 3¢	
Orchid Cellmark	\$2.25	CLOSED POSITION	50%
NASD:ORCH	(9/20/06)	10/19/06 at \$3.38	
GenVec	\$1.12	CLOSED POSITION	62%
NASD:GNVC	(10/5/06)	10/19/06 at \$1.80	
Autobytel	\$2.90	CLOSED POSITION	60%
NASD:ABTL	(10/5/06)	5/22/07 at \$4.63	
Immunogen	\$3.95	CLOSED POSITION	57%
NASD:IMGN	(10/20/06)	4/13/07 at \$6.20	
HealthStream	\$3.66	CLOSED POSITION	51%
NASD: HSTM	(10/20/06)	9/23/09 at \$5.50	
Proxim Wireless	\$2.45	CLOSED POSITION	(92%)
Pink Sheets: PRXM.PK	(11/5/06)	9/16/09 at 19¢	
(formerly called Terabeam)			
YM Biosciences	\$3.00	CLOSED POSITION	(3%)
AMEX: YMI	(11/5/06)	12/12/12 at \$2.90	
(acquired by Gilead Sciences)			
Alfacell	\$1.55	CLOSED POSTION	55%
NASD: ACEL	(11/20/06)	4/10/07 at \$2.40	
Target Logistics	\$2.20	CLOSED POSITION	11%
AMEX: TLG	(11/20/06)	9/18/07 at \$2.45	
(Acquired by Mainfreight)			

WJ Communications	\$2.00	CLOSED POSITION	(52%)
NASD: WJCI	(12/5/06)	3/20/08 at 95¢	
(being acquired by TriQuiny)			
Neose Tech.	\$2.30	CLOSED POSITION	(96%)
Pink Sheet: NTEC.PK	(12/20/06)	9/16/09 at 10¢	
DeltaThree	\$1.25	CLOSED POSITION	56%
NASD:DDDC	(1/5/07)	3/13/06 at \$1.95	
Critical Therapeutics	\$2.00	CLOSED POSITION	90%
MASD: CRTX	(1/5/07)	5/31/07 at \$3.80	
Etrials	\$3.55	CLOSED POSITION	51%
NASD: ETWC	(1/20/07)	4/13/07 at \$5.38	
Endologix	\$4.25	CLOSED POSITION	62%
NASD: ELGX	(1/20/07)	12/15/10 at \$6.88	
Eon Communications	\$6.75	CLOSED POSITION	(78%)
NASD: EONC	(2/5/07)	8/24/09 at \$1.46	
(Price reflects 5 for 1 split)			
UQM Technologies	\$3.65	CLOSED POSITION	53%
AMEX: UQM	(2/5/07)	8/5/09 at \$5.60	
Neurbio. Tech	\$18.55	CLOSED POSITION	(78%)
NASD: NTII	(2/20/07)	9/18/07 at \$3.95	
(Price reflects reverse split)			
Urologix	\$3.60	CLOSED POSITION	62%
NASD: ULGX	(2/20/07)	1/13/11 at 76¢	
CommTouch	\$1.36	CLOSED POSITION	50%
NASD: CTCH	(3/5/07)	5/22/07 at \$2.05	
Immunicon	\$2.90	CLOSED POSITION	(93%)
Bulletin Board:IMMC.OB	(3/20/07)	6/13/08 at 20¢	
Zix Corporation	\$1.55	CLOSED POSITION	60%
NASD: ZIXI	(3/20/07)	4/20/07 at \$2.48	
DepoMed	\$3.50	CLOSED POSITION	50%
NASD: DEPO	(4/5/07)	6/14/07 at \$5.25	
Quovadx	\$2.55	CLOSED POSITION	3%
NASD: QVDX	(4/5/07)	4/10/07 at \$2.90	
Hana Biosciences	\$1.83	CLOSED POSITION	(67%)
BULLETIN BOARD:	(5/5/07)	10/14/09 at 60¢	
HNAB.OB			
ECTel	\$3.48	CLOSED POSITION	(64%)
NASD:ECTX	(5/5/07)	11/2/09 at \$1.24	

American Caresource	\$1.70	CLOSED POSITION	52%
AMEX: XSI	(5/20/07)	9/21/07 at \$2.58	
Oncolytics Biotech	\$2.04	CLOSED POSITION	56%
NASD: ONCY	(6/5/07)	9/18/09 at \$3.18	
Xenonics	\$2.48	CLOSED POSITION	(82%)
Bulletin Board: XNNH.OB	(6/5/07)	12/7/10 at 45¢	
Alphatec	\$3.60	CLOSED POSITION	73%
NASD: ATEC	(6/20/07)	2/14/08 at \$6.22	
Scolr Pharma	\$2.20	CLOSED POSITION	63%
AMEX: DDD	(7/5/07)	10/5/07 at \$3.53	
Heska Corp.	\$23.50	CLOSED POSITION	(79%)
NASD: HSKA	(7/5/07)	1/3/11 at \$4.95	
(Price reflects 1 for 10 reverse split)			
Particle Drilling	\$2.05	CLOSED POSITION	60%
NASD: PDRT	(8/5/07)	8/9/07 at \$3.28	
A.P. Pharma	\$2.35	CLOSED POSITION	(79%)
NASD: APPA	(8/5/07)	1/3/11 at \$4.95	
IOMAI Corp.	\$1.75	CLOSED POSITION	260%
NASD: IOMI	(8/20/07)	5/13/08 at \$6.30	
SIGA Technologies	\$3.35	CLOSED POSITION	54%
NASD: SIGA	(8/20/07)	2/13/09 at \$5.16	
Kodiak Oil & Gas	\$3.55	CLOSED POSITION	53%
AMEX: KOG	(9/5/07)	6/18/08 at \$5.42	
Boots & Coots	\$1.20	CLOSED POSITION	60%
AMEX: WEL	(9/20/07)	4/8/08 at \$1.92	
XATA Corp.	\$3.05	CLOSED POSITION	(56%)
NASD: XATA	(9/20/07)	3/20/12 at \$1.33	
Nucryst Pharma	\$3.05	CLOSED POSITION	(42%)
NASD: NCST	(10/5/07)	11/17/09 at \$1.76	
(acquired by Smith & Nephew)			
LRAD Corp.	\$3.80	CLOSED POSITION	(68%)
NASD: LRAD	(10/5/07)	6/25/12 at \$1.21	
Retractable Tech	\$1.87	CLOSED POSITION	(56%)
AMEX: RVP	(10/20/07)	9/1/09 at \$2.92	
DigitalFx	\$2.58	CLOSED POSITION	(88%)

OTCBB:DFXN	(12/5/07)	2/11/09 at 28¢	
Sunesis Pharma	\$2.62	CLOSED POSITION	(84%)
NASD:SNSS	(11/5/07)	1/14/11 at 42¢	
Linktone, Ltd.	\$3.40	CLOSED POSITION	(70%)
NASD: LTON	(11/5/07)	5/26/11 at \$1.02	
Santarus	\$2.38	CLOSED POSITION	55%
NASD: SNTS	(11/20/07)	10/8/09 at \$3.70	
Continucare	\$2.55	CLOSED POSITION	55%
AMEX: CNU	(11/20/07)	12/8/09 at \$3.96	
Catalyst Pharma	\$3.10	CLOSED POSITION	(63%)
NASD: CPRX	(12/20/07)	3/23/11 at \$1.12	
Harbor BioSciences	\$1.84	CLOSED POSITION	(92%)
Bulletin Board.HRBR.OB	(12/20/07)	12/7/10 at 15¢	
Linktone, Ltd.	\$3.40	CLOSED POSITION	(70%)
NASD: LTON	(11/5/07)	5/26/11 at \$.102	
Move, Inc.	\$9.60	CLOSED POSITION	(32%)
NASD:MOVE	(1/5/08)	12/5/11 at \$.650	
(Price reflects 1 for 4 split)			
Hollywood Media	\$2.70	CLOSED POSITION	(53%)
NASD: HOLL	(1/5/08)	2/15/12 at \$1.26	
Amicas, Inc.	\$2.85	CLOSED POSITION	65%
NASD:AMCS	(1/20/08)	11/27/09 at \$4.72	
Nanophase Tech	\$3.50	CLOSED POSITION	(83%)
NASD:NANX	(1/20/08)	10/21/11 at 59¢	
GSI Technology	\$2.50	CLOSED POSITION	76%
NSAD:GSIT	(2/5/08)	5/12/08 at \$4.40	
Neurogen	\$2.00	CLOSED POSITION	70%
NASD: NRGN	(2/5/08)	3/20/08 at \$3.40	
IncrediMail	\$3.20	CLOSED POSITION	53%
NASD:MAIL	(2/20/08)	5/4/09 at \$4.90	
Entrust, Inc.	\$2.25	CLOSED POSITION	51%
NASD:ENTU	(2/20/08)	6/23/08 at \$3.40	
Netsol Tech.	\$1.95	CLOSED POSITION	54%
NASD:NTWK	(3/5/08)	5/13/08 at \$3.02	
Barrier Therapeutics	\$3.05	CLOSED POSITION	34%
NASD:BTRX	(3/20/08)	6/23/08 at \$4.10	

(being acquired by Stiefel Labs)			
Rodman, Renshaw	\$2.00	CLOSED POSITION	58%
NASD:RODM	(3/20/08)	8/24/09 at \$3.16	
Replidyne	\$1.35	CLOSED POSITION	(51%)
Berlin: R7Y	(4/5/08)	4/30/09 at 66¢	
(Acquired by Cardiovascular Systems)			
Biolase Technology	\$3.05	CLOSED POSITION	(60%)
NASD: BLTI	(4/5/08)	3/11/11 at \$4.90	
Micromet, Inc.	\$2.00	CLOSED POSITION	65%
NASD:MITI	(4/20/08)	7/9/08 at \$3.30	
Kopin Corp	\$2.57	CLOSED POSITION	50%
NASD:KOPN	(4/20/08)	5/27/09 at \$3.85	
Kosan Biosciences	\$1.66	CLOSED POSITION	227%
NASD: KOSN	(5/5/08)	5/29/08 at \$5.43	
(Acquired by Bristol Myers)			
Microvision	\$30.80	CLOSED POSITION	(88%)
NASD:MVIX	(5/20/08)	2/29/12 at \$3.34	
(price reflects reverse split)			
GlobalScape	\$2.35	CLOSED POSITION	(32%)
AMEX:GSB	(5/20/08)	9/13/13 at \$1.60	
Energy Focus	\$2.39	CLOSED POSITION	(79%)
NASD:EFOI	(6/5/08)	6/29/11 at 50¢	
Bridgeline Digital	\$3.00	CLOSED POSITION	(77%)
NASD:BLIN	(6/5/08)	11/15/11 at 70¢	
Avigen	\$2.95	CLOSED POSITION	55%
NASD:AVGN	(6/20/08)	9/8/08 at \$4.58	
MMC Energy	\$2.10	CLOSED POSITION	64%
NASD:MMCE	(6/20/08)	8/29/08 at \$3.45	
Applied Energetics	\$1.80	CLOSED POSITION	(94%)
OTCBB:AERG.OB	(7/5/08)	1/25/2012 at 10¢	
Neurobiological Tech.	\$1.40	CLOSED POSITION	(34%)
NASD:NTII	(7/5/08)	11/2/09 at 93¢	
E*TRADE	\$2.50	CLOSED POSITION	57%
NASD:ETFC	(7/20/08)	9/19/08 at \$3.93	
U.S. Geothermal	\$2.35	CLOSED POSITION	(86%)
AMEX: HTM	(8/5/08)	10/8/12 at 32¢	

Digirad	\$1.85	CLOSED POSITION	52%
NASD:DRAD	(8/20/08)	9/24/09 at \$2.82	
Uranium Energy	\$2.05	CLOSED POSITION	54%
AMEX:UEC	(8/20/08)	6/19/09 at \$3.17	
Endeavour Silver	\$2.26	CLOSED POSITION	50%
AMEX:EXK	(9/5/08)	11/9/09 at \$3.40	
Lexicon Pharma	\$1.85	CLOSED POSITION	92%
NASD:LXRX	(9/20/08)	9/15/09 at \$3.55	
Achillion Pharma	\$2.08	CLOSED POSITION	62%
NASD:ACHN	(10/5/08)	10/7/08 at \$1.95	
Identive Group	\$2.30	CLOSED POSITION	52%
NASD:INVE	(10/5/08)	3/3/11 at \$3.50	
(formerly SCM Microsystems)			
Oilands Quest	\$1.48	CLOSED POSITION	(66%)
AMEX:BQI	(10/20/08)	4/1/11 at 50¢	
Westinghouse Solar	\$2.27	CLOSED POSITION	(77%)
NASD:WEST	(10/20/08)	2/15/11 at 53¢	
(formerly Akenna Solar)			
Vimicro Int'l	\$1.85	CLOSED POSITION	56%
NASD:VIMC	(11/5/08)	8/10/09 at \$2.89	
Guidance Software	\$2.80	CLOSED POSITION	57%
NASD:GUID	(11/5/08)	12/5/08 at \$4.41	
Acadia Pharma	\$1.44	CLOSED POSITION	87%
NASD:ACAD	(11/20/08)	5/4/09 at \$2.70	
Orbcomm	\$1.77	CLOSED POSITION	65%
NASD:ORBC	(12/5/08)	1/19/09 at \$2.93	
Arqule	\$3.05	CLOSED POSITION	52%
NASD:ARQL	(12/5/08)	12/19/08 at \$4.63	
AXT, Inc.	\$1.25	CLOSED POSITION	50%
NASD:AXTI	(12/20/08)	7/29/09 at \$1.88	
Market Leader	\$1.69	CLOSED POSITION	78%
NASD:LEDR	(12/20/08)	1/13/11 at \$2.98	
Aetrium	\$1.80	CLOSED POSITION	
NASD:ATRM	(1/5/09)	10/14/09 at \$2.97	
Endwave	\$2.20	CLOSED POSITION	
NASD:ENWV	(1/5/09)	8/27/09 at \$3.41	

Rewards Network	\$2.12	CLOSED POSITION	56%
NASD: DINE	(1/20/09)	3/11/09 at \$3.30	
TomoTherapy	\$2.63	CLOSED POSITION	55%
NASD:TOMO	(2/20/09)	8/10/09 at \$4.06	
Opnext	\$1.69	CLOSED POSITION	50%
NASD:OPXT	(3/5/09)	4/30/09 at \$2.54	
Virage Logic	\$2.70	CLOSED POSITION	50%
NASD: VIRL	(3/5/09)	5/19/09 at \$4.05	
Isilon Systems	\$2.12	CLOSED POSITION	65%
NASD:ISLN	(3/20/09)	6/3/09 at \$3.50	
O2Micro Intl.	\$2.80	CLOSED POSITION	58%
NASD:OIIM	(3/20/09)	5/1/09 at \$4.42	
CSP, Inc.	\$2.83	CLOSED POSITION	50%
NASD:CSPI	(4/5/09)	8/10/09 at \$4.25	
TheStreet.com	\$1.95	CLOSED POSITION	52%
NASD:TSCM	(4/5/09)	10/8/09 at \$2.97	
The Hackett Group	\$2.23	CLOSED POSITION	56%
NASD:HCKT	(4/20/09)	10/22/09 at \$3.48	
Durect Corp.	\$2.40	CLOSED POSITION	53%
NASD:DRRX	(4/20/09)	12/20/10 at \$3.67	
Intellon	\$2.75	CLOSED POSITION	56%
NASD:ITLN	(5/20/09)	6/8/09 at \$4.30	
Health Grades	\$3.35	CLOSED POSITION	56%
NASD:HGRD	(5/20/09)	9/24/09 at \$5.20	
Real Goods Solar	\$2.05	CLOSED POSITION	95%
NASD:RSOL	(6/5/09)	6/8/09 at \$4.00	
Real Goods Solar	\$2.05	CLOSED POSITION	95%
NASD:RSOL	(6/5/09)	6/8/09 at \$4.00	
USA Technologies	\$2.55	CLOSED POSITION	(18%)
NASD: USAT	(6/5/09)	8/2/13 at \$2.10	
Intergrated Silicon Solution	\$2.60	CLOSED POSITION	65%
NASD:ISSI	(6/20/09)	11/9/09 at \$4.28	
NMT Medical	\$1.85	CLOSED POSITION	54%
NASD:NMTI	(6/20/09)	6/19/09 at \$2.85	
Bioclinica	\$3.60	CLOSED POSITION	55%

NASD:BIOC	(8/5/09)	3/23/11 at \$5.57	
Performance Tech	\$2.88	CLOSED POSITION	25%
NASD:PTIX	(7/20/09)	8/12/2013 at \$3.60	
Anadys Pharma	\$2.29	CLOSED POSITION	60%
NASD: ANDS	(8/20/09)	10/17/11 at \$3.66	
(Acquired by Roche)			
BioSante Pharma	\$1.88	CLOSED POSITION	61%
NASD:BPAX	(9/20/09)	5/24/11 at \$3.02	
Solta Medical	\$2.10	CLOSED POSITION	70%
NASD:SLTM	(10/5/09)	2/15/11 at \$3.56	
Helicos Biosciences	\$2.66	CLOSED POSITION	(94%)
Pinksheets: HLCS.PK	(10/20/09)	12/13/10 at 16¢	
QUALSTAR	\$1.95	CLOSED POSITION	(47%)
NASD:QBAK	(10/20/09)	11/18/13 at \$1.03	
OxiGene	\$1.28	CLOSED POSITION	(83%)
NASD:OXGN	(11/20/09)	12/20/10 at 22¢	
CytRx Corp	\$7.70	CLOSED POSITION	(68%)
NASD:CYTR	(1/5/10)	5/29/13 at \$2.40	
(Price reflects 1 for 6 reverse split)			
NIVS IntelliMedia	\$3.50	CLOSED POSITION	(71%)
AMEX:NIV	(1/20/10)	5/13/11 at \$1.00	
Cytokinetics	\$18.00	CLOSED POSITION	(28%)
NASD: CYTK	(2/5/10)	7/29/13 at \$12.94	
(Price reflects 1 for 6 reverse split)			
Achillion Pharma	\$2.30	CLOSED POSITION	58%
NASD:ACHN	(3/5/10)	12/23/10 at \$3.64	
Neurometrix	\$2.05	CLOSED POSITION	(74%)
NASD:NURO	(3/20/10)	3/3/11 at 52¢	
Cerus Corp.	\$2.80	CLOSED POSITION	60%
NASD: CERS	(3/20/10)	3/20/12 at \$4.50	
CD Int'l Enterprises	\$1.54	CLOSED POSITION	(94%)
NASD: CDII	(4/5/10)	3/15/13 at 9¢	
Novavax	\$2.30	CLOSED POSITION	51%
NASD:NVAX	(4/5/10)	9/13/13 at \$3.48	
American Caresource	\$5.79	CLOSED POSITION	(67%)

NASD:ANCI	(4/20/10)	2/25/13 at \$1.90	
(Reflects 1 for 3 reverse stock split)			
Novabay Pharma	\$2.30	CLOSED POSITION	(47%)
AMEX: NBY	(4/20/10)	12/27/13 at \$1.22	
Zalicus, Inc.	\$1.44	CLOSED POSITION	67%
NASD:ZLCS	(5/5/10)	1/11/11 at \$2.40	
Adolor	\$1.95	CLOSED POSITION	130%
NASD:ADLR	(5/5/10)	10/24/11 at \$4.42	
(Acquired by Cubist Pharma)			
PHC, Inc.	\$1.22	CLOSED POSITION	52%
AMEX:PHC	(5/20/10)	1/11/11 at \$1.84	
Willdan Group	\$2.50	CLOSED POSITION	60%
NASD:WLDN	(5/20/10)	12/9/10 at \$4.00	
Dusa Pharma	\$2.17	CLOSED POSITION	100%
BASDLDYSA	(6/5/10)	3/3/11 at \$4.34	
Clearfield, Inc.	\$2.58	CLOSED POSITION	70%
NASD:CLFD	(6/5/10)	12/20/10 at \$4.38	
AEterna-Zentaris	\$1.31	CLOSED POSITION	53%
NASD: AEZS	(6/20/10)	12/20/10 at \$2.00	
Aastrom Biosciences	\$1.50	CLOSED POSITION	73%
NASD: ASTM	(6/20/10)	11/1/10 at \$2.60	
Cardica, Inc.	\$1.63	CLOSED POSITION	53%
NASD:CRDC	(7/5/10)	8/18/10 at \$2.50	
NAPCO Security	\$1.82	CLOSED POSITION	50%
NASD:NSSC	(7/5/10)	6/13/11 at \$2.76	
Rexahn Pharma	\$1.42	CLOSED POSITION	(69%)
AMEX: RNN	(7/20/10)	5/13/13 at 43¢	
Alpha Pro Tech	\$1.88	CLOSED POSITION	53%
AMEX: APT	(7/20/10)	8/19/14 at \$2.88	
Threshold Pharma	\$1.40	CLOSED POSITION	56%
NASD:THLD	(8/5/10)	1/14/11 at \$2.18	
Banner Corp.	\$2.34	CLOSED POSITION	20%
NASD:BANR	(8/5/10)	5/13/11 at \$2.81	
Combimatrix	\$28.00	CLOSED POSITION	(57%)
NASD:CBMX	(8/20/10)	12/11/12 at \$12.00	
(Price reflect reverse split)			

U.S. Home Systems	\$3.00	CLOSED POSITION	58%
NASD: USHS	(8/20/10)	12/7/10 at \$4.75	
Anadys Pharma	\$2.29	CLOSED POSITION	60%
NASD: ANDS	(8/20/09)	10/17/11 at \$3.66	
(Acquired by Roche)			
Acadia Pharma	\$1.01	CLOSED POSITION	63%
NASD: ACAD	(9/5/10)	1/10/11 at \$1.64	
Orbcomm	\$1.86	CLOSED POSITION	67%
NASD: ORBC	(9/5/10)	1/16/11 at \$3.10	
Advanced Analogic	\$3.39	CLOSED POSITION	79%
NASD:AATI	(9/20/10/)	5/27/11 at \$6.04	
(Aquired by Skyhooks Solutions)			
ProPhase Labs	\$1.16	CLOSED POSITION	54%
NASD:PRPH	(10/5/10)	2/4/13 at \$1.78	
Amicus Therapeutics	\$3.85	CLOSED POSITION	64%
NASD:PRPH	(10/5/10)	1/14/11 at \$6.39	
Blue Phoneix	\$8.96	CLOSED POSITION	(70%)
NASD: BPHX	(10/20/10)	2/16/12 at \$2.67	
(Price reflects reverse stock split)			
Inovio Pharma	\$1.20	CLOSED POSITION	78%
AMEX:INO	(10/20/10)	7/31/13 at \$2.14	
Peregrine Pharma	\$1.52	CLOSED POSITION	55%
NASD: PPHM	(11/5/10)	12/23/10 at \$2.35	
Relm Wireless	\$2.04	CLOSED POSITION	67%
AMEX:RWC	(11/5/10)	5/13/13 at \$3.41	
World Heart	\$2.59	CLOSED POSITION	(89%)
NASD: WHRT	(11/20/10)	3/30/12 at 29¢	
(acquired by HeartWave)			
PixelWorks	\$3.31	CLOSED POSITION	57%
NASD: PXLW	(11/20/10)	8/7/13 at \$5.20	
I.D. Systems	\$2.60	CLOSED POSITION	60%
NASD:IDSY	(12/5/10)	1/18/11 at \$4.16	
Astex Pharma	\$2.65	CLOSED POSITION	72%
NASD:ASTX	(12/5/10)	3/8/2013 at \$4.56	
(formerly SuperGen)			
Cereplast	\$3.95	CLOSED POSITION	51%

NASD:CERP	(12/20/10)	1/19/11 at \$5.95	
Trident Microsystems	\$1.75	CLOSED POSITION	(89%)
Pink Sheets: TRID.PK	(12/20/10)	3/5/12 at 35¢	
Great Basin Gold	\$2.94	CLOSED POSITION	(97%)
Pink Sheets: GBGLF	(1/5/11)	2/5/13 at 9¢	
Sprint Nextel	\$4.23	CLOSED POSITION	36%
NYSE: S	(1/5/11)	11/6/12 at \$5.80	
Tengion	\$3.00	CLOSED POSITION	70%
NASD: TNGN	(1/20/11)	2/14/11 at \$5.09	
Real Goods Solar	\$2.60	CLOSED POSITION	160%
NASD: RSOL	(1/20/11)	5/20/13 at \$6.78	
Transition Thera.	\$2.06	CLOSED POSITION	55%
NASD:TTHI	(2/5/11)	2/15/11 at \$3.20	
Network Engines	\$1.82	CLOSED POSITION	(21%)
NASD: NEI	(2/5/11)	6/20/12 at \$1.45	
(Acquired by Unicom Systems)			
AdventRx Pharma	\$2.08	CLOSED POSITION	55%
AMEX:ANX	(2/20/11)	6/29/11 at \$3.24	
Biodel, Inc.	\$8.00	CLOSED POSITION	(35%)
NASD:BIOD	(2/20/11)	8/17/13 at \$5.18	
(Price reflects 1 for 4 reverse split)			
Inhibitex	\$2.35	CLOSED POSITION	103%
NASD:INHX	(3/5/11)	4/1/11 at \$4.78	
Oculus Innovative Sci.	\$14.14	CLOSED POSITION	(60%)
NASD: OCLS	(3/5/11)	5/7/2013 at \$5.60	
Price reflects 1 for 7 reverse split)			
Pluristem Thera.	\$2.32	CLOSED POSITION	57%
NASD: PSTI	(3/20/11)	7/11/11 at \$3.65	
Jamba, Inc.	\$2.07	CLOSED POSITION	52%
NASD:JMBA	(3/20/11)	5/20/13 at \$3.15	
Vical, Inc.	\$2.95	CLOSED POSITION	68%
NASD:VICL	(4/5/11)	5/13/11 at \$4.95	
Thermogenesis	\$2.10	CLOSED POSITION	52%
NASD:KOOL	(4/5/11)	1/22/14 at \$3.20	

Biostar Pharma	\$5.00	CLOSED POSITION	(85%)
NASD:BSPM	(4/20/11)	5/20/13 at 75¢	
(Price reflects reverse stock split)			
GSE Systems	\$2.35	CLOSED POSITION	(35%)
AMEX:GVP	(4/20/11)	4/2/15 at \$1.53	
Innodata Isogen	\$2.60	CLOSED POSITION	65%
NASD:INOD	(5/5/11)	12/5/11 at \$4.30	
Energy Recovery	\$3.08	CLOSED POSITION	57%
NASD:ERII	(5/5/11)	2/25/13 at \$4.83	
MediciNova	\$2.47	CLOSED POSITION	58%
NASD: MNOV	(5/20/11)	5/11/12 at \$3.90	
Neostem	\$16.67	CLOSED POSITION	(47%)
AMEX:NBS	(5/20/11)	9/23/13 at \$8.84	
Gleacher & Co.	\$2.20	CLOSED POSITION	(69%)
NASD:GLCH	(6/5/11)	5/30/13 at 70¢	
Idera Pharma	\$2.45	CLOSED POSITION	62%
NASD:IDRA	(6/5/11)	12/26/13 at \$3.98	
On Track Innovations	\$2.20	CLOSED POSITION	58%
NASD:COVR	(6/20/11)	11/18/13 at \$3.48	
Opnext, Inc.	\$2.13	CLOSED POSITION	(19%)
NASD:OPXT	(6/20/11)	3/26/12 at \$1.73	
(acquired by Oclaro)			
Galena BioPharma	\$1.00	CLOSED POSITION	70%
NASD: GALE	(7/5/11)	3/5/12 at \$1.70	
(formerly RXI Pharma)			
Somaxon Pharma	\$17.12	CLOSED POSITION	(89%)
NASD:SOMX	(7/5/11)	11/1/12 at \$1.67	
(Reflects 1 for 8 reverse split)			
Allos Therapeutics	\$2.00	CLOSED POSITION	(8%)
NASD:ALTH	(7/20/11)	7/21/11 at \$1.84	
(acquired by Amag Pharma)			
Cover-All Tech.	\$2.44	CLOSED POSITION	(47%)
NASD:COVR	(7/20/11)	12/19/14 at \$1.28	
(Acquired by Majesco)			
Antares Pharma	\$2.32	CLOSED POSITION	60%
NASD: ATRS	(8/5/11)	6/20/12 at \$3.72	

Satcon Technology	\$1.89	CLOSED POSITION	(87%)
NASD: SATC	(8/5/11)	6/25/12 at 25¢	
Synthesis Energy	\$1.96	CLOSED POSITION	(64%)
NASD:SYMX	(8/20/11)	2/18/15 at 71¢	
Nautilus	\$1.67	CLOSED POSITION	51%
AMEX: NLS	(8/20/11)	1/30/12 at \$2.53	
Glu Mobile	\$3.05	CLOSED POSITION	52%
NASD: GLUU	(9/5/11)	2/9/12 at \$4.65	
Net List	\$1.80	CLOSED POSITION	75%
NASD:NLST	(9/5/11)	11/15/11 at \$3.15	
Celldex Therapeutics	\$2.70	CLOSED POSITION	(44%)
NASD:CLDX	(9/20/11)	1/27/12 at \$4.53	
Biomimetic Therapeutics	\$3.70	CLOSED POSITION	98%
NASD:BMTI	(9/20/11)	11/19/12 at \$7.34	
Corcept Therapeutics	\$2.95	CLOSED POSITION	65%
NASD: CORT	(10/5/11)	2/21/12 at \$4.86	
Keryx BioPharma	\$3.00	CLOSED POSITION	67%
NASD: KERX	(10/5/11)	3/5/12 at \$5.00	
BioCryst Pharma	\$2.75	CLOSED POSITION	55%
NASD:BCRX	(10/20/11)	2/15/12 at \$4.26	
MGIC Investment	\$2.35	CLOSED POSITION	51%
NYSE: MTG	(10/20/11)	(12/5/11 at \$3.55	
BioDelivery Services	94¢	CLOSED POSITION	105%
NASD: BDSI	(11/5/11)	1/6/12 at \$1.93	
Ecotality	\$1.62	CLOSED POSITION	(75%)
NASD:ECTY	(11/5/11)	8/12/13 at 40¢	
FSI International	\$2.43	CLOSED POSITION	54%
NASD: FSII	(11/20/11)	12/28/11 at \$3.74	
Anadigics	\$2.50	CLOSED POSITION	(66%)
NASD:ANAD	(11/20/11)	1/2/15 at 86¢	
Vermillion, Inc.	\$1.33	CLOSED POSITION	122%
NASD: VRML	(12/5/11)	3/6/12 at \$2.95	
Revolution Lighting Technologies	\$1.22	CLOSED POSITION	102%
(formerly Nexxus Lighting)	(12/5/11)	3/27/13 at \$2.46	
NASD: RVLТ			

Nu Pathe	\$1.95	CLOSED POSITION	74%
NASD: PATH	(12/20/11)	2/9/12 at \$3.42	
Dry Ships	\$2.13	CLOSED POSITION	77%
NASD: DRY5	(12/20/11)	2/14/12 at \$3.78	
Trans1, Inc.	\$1.87	CLOSED POSITION	57%
NASD: TSON	(1/5/12)	1/27/12 at \$2.93	
Navidea BioPharma	\$2.55	CLOSED POSITION	68%
AMEX: NAVB	(1/5/12)	7/3/12 at \$4.28	
(Formerly NeoProbe)			
Nanosphere	\$1.60	CLOSED POSITION	58%
NASD: NSPH	(1/20/12)	6/27/12 at \$2.53	
A123 Systems	\$2.34	CLOSED POSITION	(93%)
NASD:AONE	(1/20/12)	11/5/12 at 13¢	
Smith Micro Software	\$1.81	CLOSED POSITION	56%
NASD: SMSI	(2/5/12)	2/8/12 at \$2.83	
Geron Corp.	\$1.98	CLOSED POSITION	62%
NASD: GERN	(2/5/12)	9/23/13 at \$3.05	
Complete Genomics	\$4.05	CLOSED POSITION	(22%)
NASD: GNOM	(2/20/12)	9/26/12 at \$3.15	
(acquired by BGI-Shenzhen)			
Orexigen Therapeutics	\$3.05	CLOSED POSITION	68%
NASD: OREX	(2/20/12)	3/19/12 at \$5.12	
Celsion Corp.	\$2.00	CLOSED POSITION	62%
NASD: CLSN	(3/5/12)	6/26/12 at \$3.24	
Majesco Entertainment	\$2.48	CLOSED POSITION	(77%)
NASD: COOL	(3/5/12)	10/8/2013 at 57¢	
Echo Therapeutics	\$21.60	CLOSED POSITION	(74%)
NASD: ECTE	(3/20/12)	6/7/13 at \$5.61	
(Price reflects 1 for 10 Reverse Split)			
Ballard Power Systems	\$1.50	CLOSED POSITION	57%
NASD: BLDP	(3/20/12)	5/29/13 at \$2.35	
Mattson Technology	\$2.77	CLOSED POSITION	51%
NASD: MTSN	(4/5/12)	2/13/15 at \$4.18	
Axcelis Technologies	\$1.70	CLOSED POSITION	52%
NASD: ACLS	(4/5/12)	1/2/15 at \$2.58	
Zogenix	\$1.92	CLOSED POSITION	70%

NASD: ZGNX	(4/20/12)	10/4/12 at \$3.27	
Air Media Group	\$3.08	CLOSED POSITION	54%
NASD:AMCN	(4/20/12)	4/16/15 at \$4.73	
Five Star Quality Care	\$3.60	CLOSED POSITION	60%
NYSE:FVE	(5/5/12)	10/8/12 at \$5.74	
Bacterin Int'l Holdings	\$2.00	CLOSED POSITION	(78%)
AMEX:BONE	(5/5/12)	11/26/13 at 45¢	
Senomyx	\$2.34	CLOSED POSITION	64%
NASD:SNMX	(5/20/12)	8/2/13 at \$3.83	
Capstone Turbine	\$1.01	CLOSED POSITION	64%
NASD: CPST	(5/20/12)	1/9/2014 at \$1.66	
Anthera Pharmaceuticals	\$1.97	CLOSED POSITION	60%
NASD: ANTH	(6/5/12)	6/26/12 AT \$3.16	
Avanir Pharmaceuticals	\$2.90	CLOSED POSITION	55%
NASD: AVNR	(6/5/12)	6/14/13 at \$4.50	
Vermillion	\$2.35	CLOSED POSITION	66%
NASD: VRML	(6/20/12)	5/29/13 at \$3.90	
Rare Element Resources	\$4.35	CLOSED POSITION	(72%)
AMEX: REE	(6/20/12)	6/9/14 at \$1.23	
Metabolix	\$11.10	CLOSED POSITION	(59%)
NASD: MBLX	(7/5/12)	5/27/15 at \$4.37	
(Price reflects 1 for 6 reverse split)			
Overland Storage	\$17.71	CLOSED POSITION	(79%)
NASD:OVRL	(7/20/12)	4/24/14 at \$3.68	
(Price reflects 1 for 5 split)			
Aviat Networks	\$2.71	CLOSED POSITION	(74%)
NASD: AVNW	(7/20/12)	2/23/16 at 70¢	
Novatel Wireless	\$2.36	CLOSED POSITION	52%
NASD:NVTL	(8/5/12)	5/14/13 at \$3.60	
pSivida Corp.	\$2.75	CLOSED POSITION	54%
NASD:PSDV	(8/5/12)	9/17/13 at \$4.25	
Meru Networks	\$3.28	CLOSED POSITION	62%
NASD: MERU	(8/20/12)	3/6/13 at \$5.32	
SemiLEDs	\$2.03	CLOSED POSITION	(72%)
NASD:LEDS	(8/20/12)	7/2/15 at 57¢	

Value Vision Media	\$1.84	CLOSED POSITION	51%
NASD: VVTV	(9/5/12)	10/10/12 at \$2.78	
AXT, Inc.	\$3.18	CLOSED POSITION	52%
NASD: AXTI	(9/5/12)	9/16/16 at \$4.85	
Hansen Medical	\$1.56	CLOSED POSITION	60%
NASD: HNSN	(9/20/12)	11/1/2012 at \$2.50	
ImmunoCellular Therapeutics	\$2.90	CLOSED POSITION	(78%)
NASD: IMUC	(9/20/12)	2/13/15 at 60¢	
Anthera Pharmaceuticals	\$8.00	CLOSED POSITION	(56%)
NASD: ANTH	(10/5/12)	10/24/13 at \$3.52	
(Price reflects 1 for 8 reverse split)			
Zynga, Inc.	\$2.84	CLOSED POSITION	57%
NASD: ZNGA	(10/5/12)	11/21/13 at \$4.46	
Delcath Systems	\$1.70	CLOSED POSITION	(86%)
NASD: DCTH	(10/20/12)	12/16/2013 at 23¢	
Lionbridge Technologies	\$3.15	CLOSED POSITION	70%
NASD: LIOX	(11/5/12)	1/15/2013 at \$5.35	
AcelRx Pharmaceuticals	\$3.75	CLOSED POSITION	55%
NASD: ACRX	(11/20/12)	1/22/13 at \$5.80	
Limelight Networks	\$1.80	CLOSED POSITION	75%
NASD: LLNW	(11/20/12)	6/9/14 at \$3.15	
BG Medicine, Inc.	\$1.44	CLOSED POSITION	129%
NASD: BGMD	(12/5/12)	12/11/12 at \$3.30	
Palatin Technologies, Inc.	.69¢	CLOSED POSITION	74%
AMEX: PTN	(12/5/12)	1/13/14 at \$1.20	
Galactin Therapeutics	\$1.87	CLOSED POSITION	60%
NASD: GALT	(12/20/12)	2/8/13 at \$3.00	
Horizon Pharma	\$2.37	CLOSED POSITION	67%
NASD: HZNP	(12/20/12)	10/8/2013 at \$3.95	
Unilife Corporation	\$2.25	CLOSED POSITION	70%
NASD: UNIS	(1/5/13)	5/13/13 at \$3.82	
Codexis, Inc.	\$2.14	CLOSED POSITION	52%
NASD: CDXS	(1/5/13)	10/13/14 at \$3.28	
GEVO, Inc.	\$1.90	CLOSED POSITION	(81%)
NASD: GEVO	(1/20/13)	11/5/14 at 36¢	

EnteroMedics	\$2.47	CLOSED POSITION	(92%)
NASD: ETRM	(1/20/13)	11/25/15 at 20¢	
TranSwitch Corp.	93¢	CLOSED POSITION	(78%)
NASD:TXCC	(2/5/13)	11/19/13AT 20¢	
Apricus Biosciences	\$2.60	CLOSED POSITION	(86%)
NASD: APRI	(2/5/13)	7/20/16 at 36¢	
AlphaTec Holdings	\$1.63	CLOSED POSITION	52%
NASD: ATEC	(2/20/13)	2/6/14 at \$2.50	
Ventrus Biosciences	\$2.60	CLOSED POSITION	56%
NASD: VTUS	(2/20/13)	1/8/14 at \$4.50	
Echelon Corp.	\$2.63	CLOSED POSITION	55%
NASD: ELON	(3/5/13)	1/31/15 at \$4.07	
Peregrine Pharmaceuticals	\$1.30	CLOSED POSITION	58%
NASD: PPHM	(3/5/13)	5/20/13 at \$2.02	
Oncothyreon	\$2.20	CLOSED POSITION	55%
NASD: ONTY	(3/20/13)	2/25/14 at \$3.44	
Joe's Jeans	\$1.80	CLOSED POSITION	(79%)
NASD: JOEZ	(3/20/13)	11/12/15 at 38¢	
XOMA Corporation	\$3.49	CLOSED POSITION	58%
NASD: XOMA	(4/5/13)	7/29/13 at \$5.52	
iPASS, Inc.	\$1.98	CLOSED POSITION	(68%)
NASD: IPAS	(4/5/13)	9/14/17 at 62¢	
Nanosphere	\$2.30	CLOSED POSITION	64%
NASD:NSPH	(4/20/13)	5/23/13 at \$3.78	
Synacor, Inc.	\$2.80	CLOSED POSITION	52%
NASD: SYNC	(4/20/13)	3/28/17 at \$4.24	
Mela Sciences	\$13.00	CLOSED POSITION	(81%)
NASD: MELA	(5/5/13)	8/19/14 at \$2.40	
(Price reflects reverse Stock split)			
Cytori Therapeutics	\$2.70	CLOSED POSITION	(78%)
NASD: CYTX	(5/5/13)	2/23/15 at 60¢	
InspireMD	\$2.65	CLOSED POSITION	(89%)
NASD: NSPR	(5/20/13)	4/17/15 at 30¢	
Ceres, Inc.	\$2.16	CLOSED POSITION	80%
NASD:CERE	(6/5/13)	6/12/2013 at \$3.90	

Flow International	\$3.96	CLOSED POSITION	1%
NASD: FLOW	(6/5/13)	10/8/2013 at \$3.99	
(Being acquired by American Industries Partners)			
Velti PLC	\$1.46	CLOSED POSITION	(81%)
NASD: VELT	(6/20/13)	11/15/2013 at 27¢	
Vical Inc.	\$2.93	CLOSED POSITION	52%
NASD: VICL	(6/20/13)	8/2/13 at \$4.45	
CYREN	\$3.20	CLOSED POSITION	28%
NASD: CTCH	(7/5/13)	5/7/14 at \$4.19	
(formerly Commtouch Software)			
Baxano Surgical	\$2.30	CLOSED POSITION	(92%)
NASD:BAXS	(7/5/13)	10/13/14 at 18¢	
Discovery Labs	\$1.70	CLOSED POSITION	70%
NASD:DSCO	(7/20/13)	10/4/13 at \$2.90	
MeetMe	\$1.68	CLOSED POSITION	54%
NYSE MKT:MEET	(7/20/13)	2/7/14 at \$2.58	
Solta Medical	\$2.70	CLOSED POSITION	8%
NASD:SLTM	(8/5/13)	12/16/2013 at \$2.92	
(Acquired by Valeant Pharma)			
Towerstream	\$2.85	CLOSED POSITION	(92%)
NASD: TWER	(8/5/13)	3/15/16 at 22¢	
Galena Biopharma	\$1.95	CLOSED POSITION	57%
NASD: GALE	(8/20/13)	11/13/13 at \$3.08	
Mindspeed Technologies	\$2.85	CLOSED POSITION	76%
NASD:MSPD	(8/20/13)	11/6/13 at \$5.02	
(Being acquired by MACOM)			50%
Durect Corporation	\$1.09	CLOSED POSITION	60%
NASD: DRRX	(9/5/13)	11/25/13 at \$1.73	
Transcept Pharmaceuticals	\$2.69	CLOSED POSITION	(34%)
NASD: TSPT	(9/5/13)	10/30/14 at \$1.71	
(Merged with Paratek Pharmaceuticals)			
Neogenomics	\$2.50	CLOSED POSITION	65%
NASD:NEO	(9/20/13)	10/24/13 at \$4.12	
Amicus Therapeutics	\$2.32	CLOSED POSITION	70%

NASD: FOLD	(10/5/13)	6/30/14 at \$3.95	
Intellipharma	\$1.96	CLOSED POSITION	89%
NASD: IPCI	(10/5/13)	11/19/13 at \$3.70	
Agenus, Inc.	\$2.67	CLOSED POSITION	70%
NASD: AGEN	(10/20/13)	2/26/14 at \$4.54	
GTX, Inc.	\$16.30	CLOSED POSITION	(57%)
NASD: GTXI	(10/20/13)	12/14/16 at \$7.02	
(Price reflects 1 for 10 reverse stock split)			
Sequenom	\$1.92	CLOSED POSITION	50%
NASD: SONM	(11/5/13)	4/24/14 at \$2.88	
Corinthian Colleges	\$2.10	CLOSED POSITION	(83%)
NASD: COCO	(11/5/13)	6/20/14 at 34¢	
Merrimack Pharmaceuticals	\$2.50	CLOSED POSITION	54%
NASD:MACK	(11/20/13)	11/26/13 at \$3.85	
Nanosphere	\$2.49	CLOSED POSITION	(85%)
NASD:NSPH	(11/20/13)	3/2/15 at 39¢	
NuPathe	\$2.03	CLOSED POSITION	66%
NAS:PATH	(12/5/13)	12/16/13 at \$3.37	
(acquired by Endo Health Solutions)			
AEterna Zentaris	\$1.14	CLOSED POSITION	(74%)
NASD: AEZS	(12/5/13)	5/26/15 at 30¢	
Zogenix, Inc.	\$3.00	CLOSED POSITION	53%
NASD: ZGNX	(12/20/13)	1/30/14 at \$4.64	
Curis, Inc.	\$2.54	CLOSED POSITION	(40%)
NASD:CRIS	(12/20/13)	9/8/14 at \$1.57	
(Acquired by Taseko Mines)			
Support.com	\$3.80	CLOSED POSITION	(80%)
NASD:SPRT	(1/5/14)	11/22/16 at 75¢	
Navidea Biopharmaceuticals	\$2.00	CLOSED POSITION	(78%)
NASD: NAVB	(1/5/14)	9/26/17 at 43¢	
Zalicus	\$1.65	CLOSED POSITION	(28%)
NASD:ZLCS	(1/20/14)	6/25/14 at \$1.18	
(Merged with Epirus Biopharma)			
Unwired Planet	\$1.45	CLOSED POSITION	57%
NASD: UPIP	(1/20/14)	3/27/14 at \$2.26	

Peregrine Pharmaceuticals	\$1.82	CLOSED POSITION	73%
NASD:PPHM	(2/5/14)	3/16/14 at \$3.15	
Pernix Therapeutics	\$2.29	CLOSED POSITION	67%
NASD:PTX	(2/5/14)	2/5/14 at \$3.78	
Catalyst Pharmaceutical	\$2.00	CLOSED POSITION	58%
NASD: CPRX	(2/20/14)	8/27/14 at \$3.16	
ArQule, Inc.	\$2.23	CLOSED POSITION	51%
NASD: ARQL	(2/20/14)	3/27/18 at \$3.35	
Amarin Corp.	\$1.74	CLOSED POSITION	60%
NASD:AMRN	(3/5/14)	3/13/15 at \$2.77	
Biolase, Inc.	\$3.09	CLOSED POSITION	(81%)
NASD: BIOL	(3/5/14)	9/27/17 at 59¢	
ARCA Biopharma	\$14.63	CLOSED POSITION	(56%)
NASD: ABIO	(3/20/14)	9/15/15 at \$6.42	
(Price reflects 1 for 7 reverse split)			
Synthetic Biologics	\$3.10	CLOSED POSITION	(83%)
NYSE MKT: SYN	(3/20/14)	12/21/17 at 54¢	
Lexicon Pharmaceuticals	\$1.73	CLOSED POSITION	(42%)
NASD: LXRX	(4/5/14)	5/15/15 at \$1.00	
KaloBios Pharmaceuticals	\$2.71	CLOSED POSITION	(75%)
NASD:KBIO	(4/5/14)	3/13/15 at 55¢	
Anthera Pharmaceuticals	\$2.81	CLOSED POSITION	75%
NASD: ANTH	(4/20/14)	2/18/15 at \$4.90	
RexAhn Pharmaceuticals	\$9.40	CLOSED POSITION	(68%)
NYSE MKT: RNN	(4/20/14)	6/19/17 at \$3.00	
(Price includes reverse stock split)			
Liposcience	\$3.06	CLOSED POSITION	70%
NASD: LPDX	(5/5/14)	9/25/14 at \$5.20	
(Acquired by LabCorp)			
Pacific Sunwear	\$2.80	CLOSED POSITION	(95%)
NASD: PSUN	(5/5/14)	3/23/16 at 14¢	
PharmAthene	\$1.49	CLOSED POSITION	54%
NYSE MKT: PIP	(5/20/14)	8/26/14 at \$2.30	
Achillion Pharmaceuticals	\$2.69	CLOSED POSITION	66%

NASD:ACHN	(5/20/14)	6/9/14 at \$4.46	
Athersys, Inc.	\$1.70	CLOSED POSITION	62%
NASD:ATHX	(6/5/14)	2/25/15 at \$2.75	
TransEnterix	\$3.80	CLOSED POSITION	63%
NASD: TRXC	(6/5/14)	6/17/14 at \$6.20	
Advaxis, Inc.	\$3.20	CLOSED POSITION	60%
NASD:ADXS	(6/20/14)	12/17/14 at \$5.12	
Galena Biopharma	\$2.90	CLOSED POSITION	(85%)
NASD:GALE	(6/20/14)	8/22/16 at 45¢	
Zogenix, Inc.	\$2.00	CLOSED POSITION	(18%)
NASD: ZGNX	(7/5/14)	6/30/15 at \$1.63	
WidePoint Corp.	\$1.76	CLOSED POSITION	(69%)
NYSE MKT: WYY	(7/5/14)	7/20/18 at 56¢	
Mast Therapeutics	60¢	CLOSED POSITION	(80%)
NYSE MKT: MSTX	(7/20/14)	3/28/17 at 12¢	
Agenus, Inc.	\$3.40	CLOSED POSITION	67%
NASD: AGEN	(8/5/14)	1/19/15 at \$5.70	
Oncogenex Pharma	\$3.08	CLOSED POSITION	(82%)
NASD: OGGI	(8/5/14)	9/8/16 at 54¢	
Fibrocell Science	\$3.10	CLOSED POSITION	55%
NYSE MKT: FCSC	(8/20/14)	2/13/15 at \$4.82	
Peregrine Pharmaceuticals	\$11.55	CLOSED POSITION	(63%)
NASD: PPHM	(8/20/14)	8/7/17 at \$4.28	
(Price reflects 1 for 7 reverse split)			
GenVec, Inc.	\$2.08	CLOSED POSITION	77%
NASD: GNVC	(9/5/14)	1/9/15 at \$3.70	
Protalix Biotherapeutics	\$2.60	CLOSED POSITION	(82%)
NYSE MKT: PLX	(9/5/14)	6/13/18 at 46¢	
NeoPhotonics	\$3.28	CLOSED POSITION	63%
NYSE:NPTN	(9/20/14)	3/13/15 at \$5.35	
CTi Biopharma	\$2.50	CLOSED POSITION	(86%)
NASD:CTIC	(9/20/14)	8/8/16 at 34¢	
Bio-Path Holdings	\$2.00	CLOSED POSITION	50%
NASD: BPTH	(10/5/14)	11/5/14 at \$3.00	
Neonode, Inc.	\$2.14	CLOSED POSITION	62%
NASD: NEON	(10/5/14)	1/2/15 at \$3.48	

Uranium Energy	\$1.07	CLOSED POSITION	82%
NYSE MKT:UEC	(10/20/14)	11/10/14 at \$1.95	
IntelliPharmaCeutics	\$2.56	CLOSED POSITION	51%
NASD:IPCI	(10/20/14)	5/26/15 at \$3.87	
Palatin Technologies	\$.60	CLOSED POSITION	53%
NYSE MKT:PTN	(11/5/14)	2/18/15 at 92¢	
Sequans Communications	\$1.57	CLOSED POSITION	56%
NASD: SQNS	(11/5/14)	2/23/16 at \$2.47	
Synergy Pharmaceuticals	\$3.00	CLOSED POSITION	56%
NASD: SGYP	(11/20/14)	4/2/15 at \$4.67	
RXI Pharmaceuticals	\$18.50	CLOSED POSITION	(87%)
NASD: RXII	(11/20/14)	8/8/16 at \$2.40	
(Price reflects stock split)			
TransEnterix	\$2.14	CLOSED POSITION	75%
NYSE MKT:TRXC	(12/5/14)	12/19/14 at \$3.75	
GigOptix	\$1.15	CLOSED POSITION	60%
NYSE MKT: GIG	(12/5/14)	7/2/15 at \$1.85	
Celator Pharmaceuticals	\$1.80	CLOSED POSITION	88%
NASD:CPXX	(12/20/14)	1/12/15 at \$3.40	
Oncolytics Biotech	57¢	CLOSED POSITION	87%
NASD: ONCY	(12/20/14)	3/2/15 at \$1.08	
Discovery Laboratories	\$1.15	CLOSED POSITION	55%
NASD:DSCO	(1/5/15)	3/6/15 at \$1.78	
BiolineRX	\$1.66	CLOSED POSITION	62%
NASD:BLRX	(1/5/15)	2/19/15 at \$2.70	
Rigel Pharmaceuticals	\$2.06	CLOSED POSITION	82%
NAD: RIGL	(1/20/15)	2/23/15 at \$3.75	
MoSys, Inc.	\$21.00	CLOSED POSITION	(88%)
NASD: MOSY	(1/20/15)	3/2/17 at \$2.39	
(Price reflects 1 for 10 reverse stock split)			
Tremor Video	\$2.10	CLOSED POSITION	54%
NYSE: TRMR	(2/5/15)	6/12/15 at \$3.25	
Molecular Templates	\$40.70	CLOSED POSITION	(87%)
NASD: MTEM	(2/5/15)	8/16/17 at \$5.25	
(Price reflects 1 for 11 reverse split)			

Rosetta Genomics	\$43.20	CLOSED POSITION	(88%)
NASD:ROSG	(2/20/15)	3/23/17 at \$4.08	
(Price reflects reverse stock split)			
CytRx, Inc.	\$3.30	CLOSED POSITION	56%
NASD: CYTR	(3/5/15)	4/17/15 at \$5.16	
Vericel Corporation	\$3.60	CLOSED POSITION	50%
NASD: VCEL	(3/5/15)	3/23/16 at \$5.40	
XOMA Corporation	\$74.00	CLOSED POSITION	(92%)
NASD: XOMA	(3/20/15)	12/20/16 at \$5.80	
(Price reflects 1 for 20 reverse split)			
Nano Viricides	\$2.64	CLOSED POSITION	(85%)
NYSE MKT: NNVC	(3/20/15)	8/23/18 at 39¢	
Actinium Pharmaceuticals	\$2.45	CLOSED POSITION	73%
NYSE MKT: ATNM	(4/5/15)	5/26/15 at \$4.25	
NovaBay Pharmaceuticals	\$18.00	CLOSED POSITION	(88%)
NYSE MKT: NBY	(4/5/15)	12/30/15 at \$2.11	
(Price reflects 1 for 25 reverse Split)			
Oncothyreon	\$1.72	CLOSED POSITION	65%
NASD: ONTY	(4/20/15)	5/15/15 AT \$2.84	
Synta Pharmaceuticals	\$2.85	CLOSED POSITION	(86%)
NASD:SNTA	(4/20/15)	4/14/16 at 40¢	
Sophiris Bio	75¢	CLOSED POSITION	75%
NASD: SPHS	(5/5/15)	5/27/15 at \$1.28	
Sunesis Pharmaceuticals	\$2.35	CLOSED POSITION	55%
NASD: SNSS	(5/5/15)	7/2/15 AT \$3.65	
Can Fite BioPharma	\$2.30	CLOSED POSITION	157%
NASD: CANF	(5/20/15)	9/18/15 at \$5.85	
VolitionRX	\$3.30	CLOSED POSITION	60%
NASD: VNRX	(5/20/15)	9/15/15 at \$5.26	
Vivus Inc.	\$25.50	CLOSED POSITION	77%
NASD: VVUS	(6/5/15)	9/12/18 at \$6.00	
(Price reflects 1 for 10 stock split)			
Turtle Beach Corp.	\$1.80	CLOSED POSITION	64%
NASD: HEAR	(6/5/15)	6/25/2015 at \$2.95	

MEI Pharma Inc.	\$1.86	CLOSED POSITION	73%
NASD: MEIP	(6/20/15)	7/7/17 at \$3.23	
Atossa Genetics	\$16.95	CLOSED POSITION	(87%)
NASD: ATOS	(7/5/15)	9/16/16 at \$2.15	
(Price reflects reverse stock split)			
Celator Pharmaceuticals	\$2.23	CLOSED POSITION	256%
NASD: CPXX	(7/20/15)	3/15/16 at \$7.95	
Unilife Corporation	\$20.08	CLOSED POSITION	(90%)
NASD: UNIS	(7/20/15)	10/14/16 at \$2.10	
(Price reflects 1 for 10 stock split)			
Arotech Corporation	\$2.20	CLOSED POSITION	60%
NASD: ARTX	(8/5/15)	4/18/16 at \$3.52	
Radisys Corporation	\$2.80	CLOSED POSITION	55%
NASD:RSYS	(8/5/15)	4/14/16 at \$4.34	
Signal Genetics	\$21.75	CLOSED POSITION	(25%)
NASD: SGNL	(8/20/15)	2/9/17 at \$16.17	
(Price reflects 1 for 15 split)			
Onconova Therapeutics	\$25.30	CLOSED POSITION	(91%)
NASD: ONTX	(8/20/15)	5/19/17 at \$2.10	
(Price reflects 1 for 10 Reverse stock split)			
Organovo Holdings	\$2.72	CLOSED POSITION	59%
NASD: ONVO	(9/5/15)	7/5/16 at \$4.32	
Bioscrip Inc.	\$2.43	CLOSED POSITION	57%
NASD: BIOS	(9/5/15)	11/27/18 at \$3.82	
Bovie Medical	\$2.24	CLOSED POSITION	71%
NYSE MKT: BVX	(9/20/15)	8/18/16 at \$3.84	
Aveo Oncology	\$1.45	CLOSED POSITION	53%
NASD: AVEO	(9/20/15)	6/27/17 at \$2.20	
Ohr Pharmaceutical	\$2.76	CLOSED POSITION	81%
NASD:OHRP	(10/5/15)	11/25/15 at \$5.02	
Idera Pharmaceuticals	\$26.80	CLOSED POISITION	72%
NASD: IDRA	(10/5/15)	8/13/18 at \$7.48	
(Price reflects 1 for 8 reverse split)			
CASI Pharmaceuticals	\$1.35	CLOSED POSITION	64%

NASD: CASI	(10/20/15)	10/10/17 at \$2.30	
Turtle Beach	\$2.50	CLOSED POSITION	(78%)
NYSE: HEAR	(11/5/15)	1/24/18 at 53¢	
WaferGen Bio-Systems	81¢	CLOSED POSITION	60%
NASD: WGBS	(11/20/15)	8/8/16 at \$1.30	
Actinium Pharmaceuticals	\$2.15	CLOSED POSITION	55%
NASD: ATNM	(11/20/15)	12/30/15 at \$3.35	
Mattson Technology	\$3.05	CLOSED POSITION	62%
NASD: MTSN	(12/5/15)	5/11/16 at \$3.66	
(Acquired by: E-Town Dragon)			
MediciNova, Inc.	\$3.50	CLOSED POSITION	66%
NASD: MNOV	(12/20/15)	2/24/2016 at \$5.80	
Accuride Corporation	\$1.91	CLOSED POSITION	34%
NYSE: ACW	(12/20/15)	11/18/16 at \$2.58	
(Acquired by Crestview Partners)			
Aptose Biosciences	\$2.53	CLOSED POSITION	52%
NASD: APTO	(1/5/16)	1/24/18 at \$3.83	
Pieris Pharmaceuticals	\$1.62	CLOSED POSITION	54%
NASD: PIRS	(1/20/16)	3/6/17 at \$2.49	
Palatin Technologies	57¢	CLOSED POSITION	50%
NYSE MKT: PTN	(1/20/16)	10/5/17 at 86¢	
Argos Therapeutics	\$2.70	CLOSED POSITION	60%
NASD: ARGS	(2/5/16)	2/9/2016 at \$4.35	
Pixelworks, Inc.	\$2.17	CLOSED POSITION	60%
NASD: PXLW	(2/5/16)	11/22/16 at \$3.45	
Bind Therapeutics	\$1.36	CLOSED POSITION	61%
NASD: BIND	(2/20/16)	3/15/16 at \$2.20	
Conatus Pharmaceuticals	\$1.73	CLOSED POSITION	70%
NASD: CNAT	(2/20/16)	4/4/16 at \$2.95	
Cerulean Pharma	\$1.97	CLOSED POSITION	77%
NASD: CERU	(3/5/16)	3/23/16 at \$3.50	
Glu Mobile, Inc.	\$3.80	CLOSED POSITION	53%
NASD: GLUU	(3/5/16)	5/21/18 at \$5.76	
Geron Corporation	\$2.64	CLOSED POSITION	68%
NASD: GERN	(3/20/16)	3/19/18 at \$4.44	

Epirus Biopharmaceuticals	\$2.80	CLOSED POSITION	(95%)
NASD: EPRS	(3/20/16)	8/12/16 at 14¢	
Sonoma Pharmaceuticals	\$4.75	CLOSED POSITION	52%
NASD: SNOA	(4/5/16)	3/1/17 at \$7.24	
(Price reflects 1 for 5 reverse split)			
Xtant Medical Holdings	\$32.40	CLOSED POSITION	(79%)
NASD: XTNT	(4/5/16)	3/2/18 at \$6.80	
(Price reflects 1 for 12 splits)			
Histogenix Corporation	\$2.35	CLOSED POSITION	78%
NASD: HSGX	(4/20/16)	9/8/16 at \$4.20	
Parnell Pharmaceuticals	\$2.20	CLOSED POSITION	(69%)
NASD: PARN	(4/20/16)	12/30/16 at 69¢	
ContraVir Pharmaceuticals	\$1.00	CLOSED POSITION	74%
NASD: CTRV	(5/5/16)	10/14/16 at \$1.74	
Viking Therapeutics	\$1.18	CLOSED POSITION	54%
NASD: VKTX	(5/20/16)	9/28/17 at \$1.82	
HTG Molecular Diagnostics	\$2.90	CLOSED POSITION	63%
NASD: HTGM	(5/20/16)	3/23/17 at \$4.74	
Senoymx, Inc.	\$2.80	CLOSED POSITION	52%
NASD: SNMX	(6/5/16)	8/22/16 at \$4.28	
Alliqua Biomedical	\$1.25	CLOSED POSITION	(91%)
NASD: ALQA	(6/5/16)	10/2/17 at 36¢	
GigPeak, Inc.	\$2.12	CLOSED POSITION	45%
NYSE MKT: GIG	(6/20/16)	2/14/17 at \$3.08	
(acquired by IDT)			
Aurinia Pharmaceuticals	\$2.56	CLOSED POSITION	75%
NASD: AUPH	(6/20/16)	8/11/16 at \$4.48	
Asterias Biotherapeutics	\$2.39	CLOSED POSITION	63%
NYSE MKT: AST	(7/5/16)	9/9/16 at \$3.90	
Cerulean Pharma	\$2.12	CLOSED POSITION	58%
NASD: CERU	(7/5/16)	7/20/16 at \$3.35	
Tonic Pharmaceuticals	\$20.04	CLOSED POSITION	(79%)
NASD: TNXP	(7/20/16)	7/7/17 at \$4.30	
(Price reflects 1 for 10 reverse split)			
Connecture, Inc.	\$2.35	CLOSED POSITION	(91%)

NASD: CNXR	(7/20/16)	11/10/17 at 20¢	
Fate Therapeutics	\$2.05	CLOSED POSITION	52%
NASD: FATE	(8/5/16)	9/16/16 at \$3.12	
Conatus Pharmaceuticals	\$2.25	CLOSED POSITION	126%
NASD: CNAT	(8/5/16)	12/20/16 at \$5.10	
BioPharmX, Inc.	65¢	CLOSED POSITION	81%
NASD: BPMX	(8/20/16)	8/22/16 at \$1.18	
Internap Corporation	\$2.25	CLOSED POSITION	51%
NASD: INAP	(8/20/16)	3/13/17 at \$3.39	
Celsion Corporation	\$17.22	CLOSED POSITION	(86%)
NASD: CLSN	(9/5/16)	6/27/17 at \$2.25	
(Price reflects 14 to 1 reverse stock split)			
Ocera Therapeutics	\$2.12	CLOSED POSITION	(19%)
NASD: OCRX	(9/5/16)	11/13/17 at \$1.72	
(being acquired by Mallinckrodt)			
Inuvo, Inc.	\$1.17	CLOSED POSITION	80%
NYSE MKT: INUV	(9/20/16)	12/21/16 AT \$2.12	
Eyegate Pharmaceuticals	\$1.70	CLOSED POSITION	65%
NASD: EYEG	(10/5/16)	2/21/17 at \$2.70	
Tremor Video	\$1.61	CLOSED POSITION	50%
NYSE: TRMR	(10/20/16)	12/15/16 at \$2.42	
Auris Medical Holding	\$12.50	CLOSED POSITION	(86%)
NASD: EARS	(10/20/16)	3/20/18 at \$1.74	
(Price reflects 1 for 10 reverse split)			
Verastem	\$1.27	CLOSED POSITION	62%
NASD: VSTM	(11/5/16)	3/28/17 at \$2.06	
Vericel	\$2.30	CLOSED POSITION	85%
NASD: VCEL	(11/5/16)	12/14/16 at \$4.26	
Ampliphi Biosciences	\$8.90	CLOSED POSITION	(90%)
NASDAQ: APHB	(11/20/16)	9/5/17 at 88¢	
(Price reflects 1 for 10 reverse split)			
Cardiome Pharma	\$2.75	CLOSED POSITION	55%
NASD: CRME	(12/5/16)	5/18/17 at \$4.26	

Neopode Inc.	\$16.50	CLOSED POSITION	(87%)
NASD: NEON	(12/5/16)	11/12/19 at \$2.20	
(Price reflects 1 for 10 reverse stock split)			
Actinium Pharmaceuticals	\$1.00	CLOSED POSITION	67%
NYSE MKT: ATNM	(12/20/16)	2/9/17 at \$1.67	
Sensceonics Holdings	\$2.80	CLOSED POSITION	51%
NYSE: MKET: SENS	(12/20/16)	6/15/18 at \$4.22	
CombiMatrix Corporation	\$2.65	CLOSED POSITION	53%
NASD: CBMX	(1/5/17)	2/1/17 at \$1.67	
Arbutus Biopharma	\$2.45	CLOSED POSITION	52%
NASD: ABUS	(1/5/17)	5/19/17 at \$3.74	
Tandem Diabetes Care	\$19.20	CLOSED POSITION	(72%)
NASD: TNDM	(1/20/17)	10/10/17 at \$5.25	
(Price reflects 1 for 8 reverse split)			
Regulus Therapeutics	\$29.40	CLOSED POSITION	(93%)
NASD: RGLS	(1/20/17)	10/18/18 at \$1.88	
(Price reflects 1 for 12 reverse stock split)			
CareDx	\$2.40	CLOSED POSITION	83%
NASD: CDNA	(2/5/17)	9/26/17 at \$4.40	
Aurinia Pharmaceuticals	\$3.08	CLOSED POSITION	78%
NASD: AUPH	(2/5/17)	3/2/17 at \$5.50	
Histogenics	\$1.77	CLOSED POSITION	63%
NASD: HSGX	(2/20/17)	1/11/18 at \$2.88	
KemPharm, Inc.	\$3.60	CLOSED POSITION	65%
NASD: KMPH	(2/20/17)	1/19/18 at \$5.95	
Marinus Pharmaceuticals	\$1.38	CLOSED POSITION	74%
NASD: MRNS	(3/5/17)	8/7/17 at \$2.40	
Cytori Therapeutics	\$1.68	CLOSED POSITION	(78%)
NASD: CYTX	(3/5/17)	1/11/18 at 40¢	
Rigel Pharmaceuticals	\$2.90	CLOSED POSITION	54%
NASD: RIGL	(3/20/17)	11/22/17 at \$4.46	
Affirmed	\$2.70	CLOSED POSITION	(62%)
NASD: AFMD	(3/20/17)	4/28/18 at \$4.38	
Dicerna Pharmaceuticals	\$3.40	CLOSED POSITION	54%

NASD: DRNA	(4/5/17)	9/26/17 at \$5.26	
Athersys, Inc.	\$1.48	CLOSED POSITION	51%
NASD: ATHX	(5/5/17)	9/14/17 at \$2.23	
Endocyte, Inc.	\$2.30	CLOSED POSITION	152%
NASD: ECTT	(5/5/17)	10/3/17 at \$5.80	
ImmunoGen	\$4.50	CLOSED POSITION	53%
HASD: IMGN	(5/20/17)	6/27/17 at \$6.88	
Harvard Biosciences	\$2.50	CLOSED POSITION	55%
NASD: HBIO	(5/20/17)	9/26/17 at \$3.88	
Quarterhill	\$1.42	CLOSED POSITION	2%
TSX: OJRH	(6/5/17)	8/1/17 at \$1.48	
Rely Industry	\$2.65	CLOSED POSITION	(77%)
NASD: RELY	(6/5/17)	11/22/17 at 62¢	
Rewalk Robotics NASD: RWLK	\$1.10 (6/20/17)	CLOSED POSITION 6/17/17 at \$1.81	64%
EyePoint Pharmaceuticals	\$1.65	CLOSED POSITION	60%
NASD: EYPT	(6/20/17)	7/20/18 at \$2.64	
(formerly pSivida)			
Bovie Medical	\$2.35	CLOSED POSITION	63%
NYSE MKT: BVX	(7/5/17)	10/2/17 at \$3.82	
Cerus Corporation	\$2.47	CLOSED POSITION	53%
NASD: CERS	(7/5/18)	11/13/17 at \$3.77	
IntelliPharmaCeutics	\$26.50	CLOSED POSITION	(98%)
NASD: IPCI	(7/20/17)	11/17/18 at 482¢	
(Price reflects 1 for 10 reverse split)			
Interpace Diagnostics Group	95¢	CLOSED POSITION	60%
NASDAQ: IDYG	(8/5/17)	8/16/17 at \$1.53	
Tracon Pharmaceuticals	\$2.30	CLOSED POSITION	55%
NASDAQ: TCON	(8/5/17)	9/5/17 at \$3.57	
Aeterna Zentaris	\$2.00	CLOSED POSITION	60%
NASD: AEZS	(8/20/17)	12/21/17 at \$3.24	
T2 Biosystems	\$4.10	CLOSED POSITION	67%
NASD: T2OO	(9/5/17)	9/13/17 at \$6.85	
Tetra Technologies	\$2.45	CLOSED POSITION	66%
NYSE: TTI	(9/20/17)	11/10/17 at \$4.06	

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